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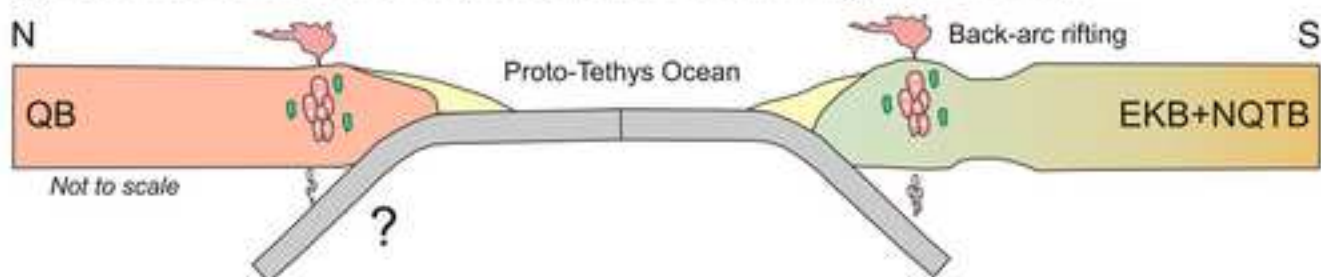
**Abstract:** The tectonic evolution of the Proto- and Paleo-Tethys oceans had a significant influence on ocean-continent distributions in East Asia in the Phanerozoic, and major implications for continental growth in the region. However, it remains ambiguous when and how the Proto-Tethys Ocean transformed into the Paleo-Tethys Ocean. Here we present petrologic, mineralogical, chronological and geochemical data for Early Devonian mafic igneous rocks, located in the East Kunlun Orogen. The mafic igneous rocks include basaltic lavas and diabase dykes, and are tholeiitic in composition. Geochemical and Sr-Nd isotopic data indicate that the basaltic lavas were derived from melting of a spinel-bearing asthenospheric mantle (E-MORB) at normal mantle potential temperatures (1384-1400 °C) with negligible (1-4%) crustal contamination. The diabase dykes probably originated from melting of a spinel-bearing lithospheric mantle metasomatized by subduction-related fluids, with 5-20% crustal contamination, and crystallized at 1100-1135 °C. Both basaltic lavas and diabase dykes have the geochemical characteristics of within-plate basalts. Magmatic zircons from the mafic rocks yield Early Devonian ages (407-403 Ma), postdating the East Kunlun ultrahigh-pressure metamorphism by 19-25 Myr. Comparing our results with the location and timing of the high- and ultrahigh-pressure metamorphic belt, we conclude that the mafic igneous rocks formed in a post-collisional extensional setting. Their generation was associated with both the terminal stages of the Proto-Tethys orogenic belt, (with orogenic collapse promoted by repeated and localized delamination of lithospheric mantle), and early continental rifting related to the evolution of the Paleo-Tethys Ocean to the south. The period of ~426-390 Ma is important for the transition from Proto- to Paleo-Tethys oceans in the East Kunlun Orogen.

Research Data Related to this Submission

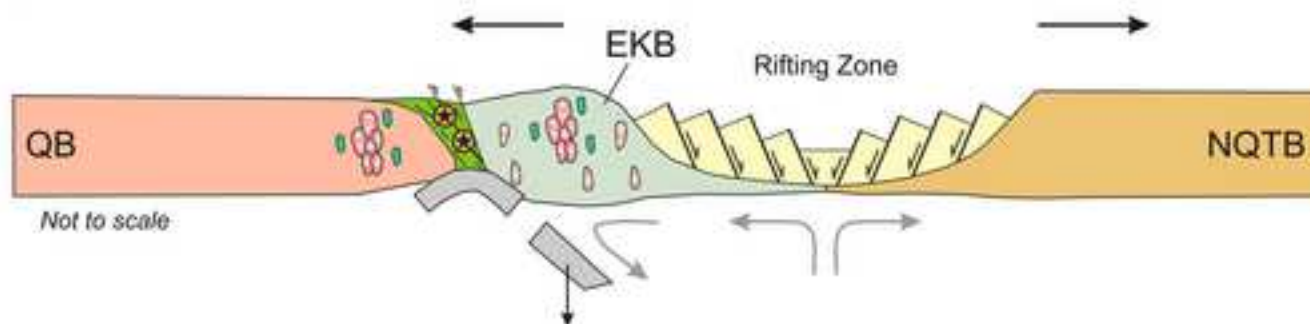
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There are no linked research data sets for this submission. The following reason is given:

Data will be made available on request

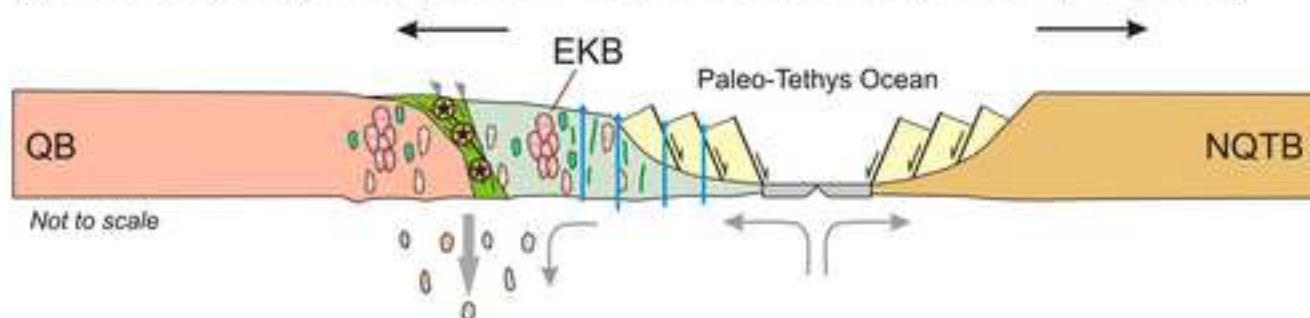
(A) Subduction of the Proto-Tethys Ocean and back-arc rifting (>480-428 Ma)



(B) Closure and slab break-off of the Proto-Tethys Ocean (428-413 Ma)



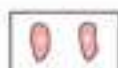
(C) Proto-Tethys orogen collapse and formation of the Paleo-Tethys Ocean (413-380 Ma)



Arc volcanism



Mafic-ultramafic intrusive rocks



Felsic intrusive rocks



A-type granitoids



Mafic volcanic rocks



Diabase dyke



Eclogite



Slab-derived fluids

- The Tatuó mafic rocks have geochemical features similar to within-plate basalts.
- The Tatuó mafic rocks (407-403 Ma) formed in a post-collisional extensional setting.
- The period of ~426-390 Ma is important for the transition from the Proto- to Paleo-Tethys oceans.

1 **Early Devonian mafic igneous rocks in the East Kunlun**  
2 **Orogen, NW China: Implications for the transition from the**  
3 **Proto- to Paleo-Tethys oceans**

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19 Manuscript for *Lithos*

## Abstract

The tectonic evolution of the Proto- and Paleo-Tethys oceans had a significant influence on ocean-continent distributions in East Asia in the Phanerozoic, and major implications for continental growth in the region. However, it remains ambiguous when and how the Proto-Tethys Ocean transformed into the Paleo-Tethys Ocean. Here we present petrologic, mineralogical, chronological and geochemical data for Early Devonian mafic igneous rocks, located in the East Kunlun Orogen. The mafic igneous rocks include basaltic lavas and diabase dykes, and are tholeiitic in composition. Geochemical and Sr-Nd isotopic data indicate that the basaltic lavas were derived from melting of a spinel-bearing asthenospheric mantle (E-MORB) at normal mantle potential temperatures (1384-1400 °C) with negligible (1-4%) crustal contamination. The diabase dykes probably originated from melting of a spinel-bearing lithospheric mantle metasomatized by subduction-related fluids, with 5-20% crustal contamination, and crystallized at 1100-1135 °C. Both basaltic lavas and diabase dykes have the geochemical characteristics of within-plate basalts. Magmatic zircons from the mafic rocks yield Early Devonian ages (407-403 Ma), postdating the East Kunlun ultrahigh-pressure metamorphism by 19-25 Myr. Comparing our results with the location and timing of the high- and ultrahigh-pressure metamorphic belt, we conclude that the mafic igneous rocks formed in a post-collisional extensional setting. Their generation was associated with both the terminal stages of the Proto-Tethys orogenic belt, (with orogenic collapse promoted by repeated and localized delamination of lithospheric mantle), and early continental rifting related to the

evolution of the Paleo-Tethys Ocean to the south. The period of ~426-390 Ma is important for the transition from Proto- to Paleo-Tethys oceans in the East Kunlun Orogen.

*Keywords:* Mafic igneous rocks; Post-collisional; Early continental rifting; Proto- and Paleo-Tethys oceans; East Kunlun

## 1. Introduction

The Proto-Tethys Ocean originally separated continental blocks that are now present across China and SE Asia, from the Qiangtang and Sibumasu blocks to the south and east, to the Tarim and North China blocks to the north (Li et al., 2018). The opening of Proto-Tethys was probably related to the break-up of Rodinia at ~750 Ma, and its closure resulted from the assembly of Gondwana during the Silurian to Early Devonian (Li et al., 2008a; von Raumer and Stampfli, 2008; Zhao et al., 2018a; Song et al., 2018). The Paleo-Tethys Ocean separated the European and Asiatic Hunic terranes and Gondwana (Metcalf, 2013; Zhao et al., 2018a). Several studies have suggested that the Paleo-Tethys Ocean started to open in the Devonian, and its final closure occurred at ~220 Ma (Jian et al., 2009; Zhai et al., 2011, 2013; Song et al., 2020). The tectonic evolution of the Proto- and Paleo-Tethys oceans clearly played key roles in the assembly of the East Asian blocks in Pangea (Li et al., 2018; Zhao et al., 2018a). However, it is still not well constrained when and how the demise of Proto-Tethys related to the opening of Paleo-Tethys. To address this issue, it is useful to understand the final stages of the Proto-Tethys orogenic belt, and to decipher the early continental rifting related to the opening of Paleo-Tethys.

64 It is generally accepted that the East Kunlun Orogen (EKO) was a product of the  
65 tectonic evolution of the Proto- and Paleo-Tethys oceans ([Bian et al., 2004](#); [Chen et al.,](#)  
66 [2017, 2020](#); [Dong et al., 2018a](#)). Based on high- to ultrahigh-pressure (HP-UHP)  
67 metamorphic rocks, recent studies have proposed that the collision event between the  
68 East Kunlun and Qaidam blocks could represent the final closure of the Proto-Tethys  
69 Ocean ([Bi et al., 2018](#); [Song et al., 2018](#)). Therefore, study of the EKO could provide  
70 important clues for the late stage evolution of the Proto-Tethys orogenic belt.  
71 However, the Middle Silurian to Middle Devonian evolution of the EKO is  
72 controversial; both large scale lithospheric delamination and oceanic slab break-off  
73 have been proposed as causes of regional extension ([Zhang et al., 2014](#); [Zhong et al.,](#)  
74 [2017](#); [Xin et al., 2018](#); [Chen et al., 2020](#)). [Xiong et al. \(2014\)](#) proposed that the  
75 formation of the Paleo-Tethys Ocean started at ~393 Ma, based on within-plate mafic  
76 dykes in the EKO, however, the dataset of that study is limited and the early evolution  
77 of Paleo-Tethys needs to be re-evaluated.

78 The geochemistry of mafic igneous rocks can provide important clues for  
79 deciphering the nature and composition of their mantle source, and the tectonic setting  
80 of melt generation and emplacement ([Wilson, 1989](#); [Melluso et al., 2006](#); [Zhu et al.,](#)  
81 [2008](#); [Lee et al., 2009](#); [Prelević et al., 2012](#); [Song et al., 2015a](#)). In this contribution,  
82 we present new petrologic, mineralogical, chronological and geochemical studies for  
83 the basaltic lavas and diabase dykes in the EKO. We propose that these mafic igneous  
84 rocks formed in a post-collisional setting, consistent with extension, and that they  
85 relate to the final collapse of the Proto-Tethys orogenic belt and early continental



86 rifting related to the opening of the Paleo-Tethys Ocean.

## 87 **2. Geological background**

88 The EKO is located between the Songpan-Ganzi Terrane and the Qaidam Block  
89 on the northeastern margin of the Tibetan Plateau (Fig. 1A). It has dimensions of  
90 ~1500 km west-east with a south-north width of ~50-200 km (Fig. 1B). To the south,  
91 the Songpan-Ganzi Terrane consists mainly of Late Triassic granitoids (Yuan et al.,  
92 2010) and Mesozoic submarine fan and deep marine rocks formed in the Paleo-Tethys  
93 Ocean (Ding et al., 2013). To the north, the Qaidam Block predominantly consists of  
94 Mesozoic to Cenozoic sedimentary rocks overlying a Precambrian basement (e.g., Xia  
95 et al., 2001). The Precambrian basement, primarily exposed at the southern and  
96 northern margins of the Qaidam Block, mainly consists of granitic and pelitic gneisses,  
97 granitoids, amphibolites and marbles (e.g., Wan et al., 2006).

98 The EKO is an important tectonic junction linking the Qinling Orogen to the east  
99 and the West Kunlun Orogenic Belt to the west (Fig. 1A). It experienced a long and  
100 complicated tectonic evolution associated with the Proto- and Paleo-Tethys oceans  
101 from the Cambrian to the Triassic (Li et al., 2018; Dong et al., 2018a; Song et al.,  
102 2018). The EKO consists of: (1) the A'nyemaqen accretionary belt, (2) extensive  
103 granitoids, (3) Precambrian basement and (4) an Early Paleozoic complex (Fig. 1B).  
104 In the south of the EKO, the A'nyemaqen accretionary belt (Fig. 1B) consists of  
105 tectonic mélanges and ophiolites in a matrix of strongly deformed Permian turbidites  
106 (Pei et al., 2018). Based on chronological data, two major episodes of ophiolites,  
107 dated at 516-450 Ma and 345-333 Ma, are recognized in the A'nyemaqen accretionary

belt, representing fragments of the ancient Tethys oceans (Bian et al., 2004; Dong et al., 2018a; Pei et al., 2018). In the north of the EKO is the Early Paleozoic complex (Fig. 1B), which primarily consists of eclogites, 537-421 Ma ophiolites, and arc-related igneous rocks (e.g., Yang et al., 1996; Meng et al., 2013; Jiang et al., 2015; Song et al., 2018). The eclogites in the Early Paleozoic complex formed at 432-411 Ma, and occur as interlayers and lenses in a schist matrix (Meng et al., 2013; Qi et al., 2014; Song et al., 2018). The eclogites at Kehete have coesite inclusions and pseudomorphs (Song et al., 2018; Bi et al., 2018), indicating the presence of HP-UHP metamorphism in the EKO. Thus, the Early Paleozoic complex in the EKO is also a HP-UHP metamorphic belt (Fig. 1B). Precambrian basement rocks are widespread in the EKO and they show a wide age range from Paleoproterozoic to Neoproterozoic (He et al., 2016; Song et al., 2018). The granitoids cover an area of more than 48,000 km<sup>2</sup> and they primarily formed in the Early Paleozoic and Triassic (e.g., Chen et al., 2017, 2020; Xin et al., 2018).

The study area is located in the Early Paleozoic complex, at the easternmost part of the EKO (Fig. 1B). The geology of this region includes Precambrian meta-sedimentary rocks, the Early Paleozoic subduction complex, Silurian-Devonian and Mesozoic volcanic-sedimentary strata, Ordovician-Devonian and Permian-Triassic granitoids and ultramafic rocks (Fig. 2A) (BGQP, 1973). The Precambrian meta-sedimentary rocks are composed of dolomitic limestone, marble, sandstone and schist with minor granulite (BGQP, 1973). The Silurian-Devonian volcanic-sedimentary strata (~6.5 km thick in the study area; Fig. 2B) consist mainly

of carbonate, sandstone, slate, pyroclastic rock and volcanic rock (BGQP, 1973). The Mesozoic volcanic-sedimentary strata contain carbonate, conglomerate, sandstone and pyroclastic rock, with minor coal beds. The Silurian-Devonian volcanic-sedimentary strata have fault contacts with the Precambrian meta-sedimentary rocks and Mesozoic volcanic-sedimentary strata (Fig. 2B). The Ordovician-Devonian granitoids consist mainly of syenogranite and granodiorite, showing geochemical features similar to A<sub>2</sub>-type granite (Chen et al., 2020).

### 3. Field relations and petrography

The studied mafic igneous rocks are from the Tatuó area (Fig. 1); sample locations and sampling horizons are shown in Fig. 2A and 2B, respectively. The rocks are basaltic lavas and diabase dykes and their contact relationships cannot be directly observed in the field (Figs. 2A and 3). Basaltic lavas are gray to dark gray in color, and have a massive structure with a thickness of ~70-150 m (Figs. 2B, 3A and 3B). Thin section observations indicate that the basaltic lavas are mainly basalts, with minor amounts of dolerite. Contact relationship between the basalts and dolerites are conformable in the field (Fig. 3B). The basalts are variously altered, but original textures are still present (Fig. 3C and D). They are porphyritic with clinopyroxene (5-10 vol.%) and plagioclase (3-5 vol.%) phenocrysts in a matrix of oriented fine- to micro-grained plagioclase, clinopyroxene, opaque minerals and altered minerals (e.g., chlorite and epidote) (Fig. 3C and D). The dolerites show a fine-grained ophitic texture and are primarily composed of clinopyroxene (35-55 vol.%) and plagioclase (45-60 vol.%) with minor opaques and altered minerals (Fig. 3E).

The two diabase dykes can be found in the study area. These dykes are dark gray in color and possess a massive structure. The dykes are almost vertical, and typical E-W trending. They can be traced for distances of 50-250 m with a width of ~1.5-20 m (Fig. 3F and G). The dykes have intrusive contacts with the Precambrian meta-sedimentary rocks (Fig. 3F and G). There is slight alteration, and ophitic textures (Fig. 3H and I). The compositions are 20-35 vol.% clinopyroxene, 60-75 vol.% plagioclase, and minor altered minerals (Fig. 3H and I). Clinopyroxene grains are irregular to subhedral crystals varying from 0.1 to 1.5 mm in size, and occurring interstitially between plagioclase grains (Fig. 3H and I). Clinopyroxene crystals are locally altered to epidote, chlorite and actinolite. Plagioclase grains are euhedral to subhedral laths and vary in size (0.25-2.5 mm) with well-developed polysynthetic twinning (Fig. 3H and I). Some plagioclase grains are mottled in appearance, and altered to kaolin or sericite.

## **4. Analytical methods**

### **4.1 Mineral chemistry**

Mineral analyses for major element oxides, including clinopyroxene and plagioclase, were done on a JEOL JXA-8230 Electron Probe Microanalyzer (EPMA) at the Laboratory of Orogenic Belts and Crustal Evolution of Peking University. Analytical conditions were optimized for standard silicates and oxides at 15 kV accelerating voltage with a 10 nA focused beam current for all the elements. Routine analyses were obtained by counting for 30 s at peak and ~15 s on background. Repeated analysis of natural and synthetic mineral standards yielded precisions better

than  $\pm 2\%$  for most elements.

## **4.2 Whole-rock major and trace element analyses**

Whole-rock major and trace element analysis was performed at the Geological Lab Center of China University of Geosciences, Beijing (CUGB). Whole-rock major element oxides were done using inductively coupled plasma-atomic emission spectroscopy (ICP-OES). The analytical uncertainties are usually less than 1% for most elements with the exception of  $\text{TiO}_2$  (~1.5%) and  $\text{P}_2\text{O}_5$  (~2.0%) based on Chinese national geological standard reference materials GSR-1 and GSR-3, and US Geological Survey rock standards AGV-2 and W-2. Loss on ignition (LOI) was obtained by placing 1000 mg of samples in the furnace at 1000 °C for three hours before being cooled in a desiccator and reweighed. Whole-rock trace elements were determined on an Agilent-7500a inductively coupled plasma-mass spectrometry (ICP-MS). Rock standards AGV-2 (US Geological Survey), and GSR-1, GSR-3, GSR-5 (national geological standard reference materials of China) were used to monitor the analytical accuracy and precision. The analytical accuracy, as indicated by relative difference between measured and recommended values, is better than 5% for most elements, 10-13% for U, Th, Sc, Er, Nb and Cu, and 10-15% for Gd, Ta and Tm. More detailed analytical procedures are presented in [Dong et al. \(2018b\)](#).

## **4.3 Zircon U-Pb geochronology**

Zircons were separated from one dolerite sample (17KL-25) and one diabase dyke sample (18KL-63) by using standard density and magnetic separation techniques and selected by handpicking under a binocular microscope. Cathodoluminescence

(CL) examination was conducted using an FEI QUANTA650 FEG Scanning Electron Microscope (SEM) under conditions of 15 kV/120 nA at MOE Key Laboratory of Orogenic Belt and Crustal Evolution, Peking University.

Measurements of U, Th and Pb in zircons were performed on an Agilent-7700x quadrupole inductively coupled plasma mass spectrometry coupled with a Coherent Geolas Pro laser sampler (LA-ICP-MS) at the Laboratory Center, Xi'an Center of Geological Survey, China. Laser spot size of 25  $\mu\text{m}$ , laser energy density of 6.0 J/cm<sup>2</sup> and a repetition rate of 5 Hz were applied for analysis. Helium was used as a carrier gas to transport the ablated aerosol to the LA-ICP-MS. Each analysis spot comprised about 10 s background measurements and 40 s of sample measurements. National Institute of Standards and Technology 610 glass, zircon standard 91500 and TEM were used for calibration. The software GLITTER 4.4.1 (Macquarie University) was used to process the isotopic ratios and element concentrations of zircon grains. The common Pb correction was made following [Andersen \(2002\)](#). Age calculations and plots of concordia diagrams were done using Isoplot 3.0 ([Ludwig, 2003](#)).

#### **4.4 Whole-rock Sr-Nd isotope analyses**

Whole-rock Sr-Nd isotope analyses were determined at MOE Key Laboratory of Orogenic Belts and Crustal Evolution, Peking University. About 200 mg of the unknown sample and ~150 mg of the standard sample (BCR-2) were dissolved by using HF+HNO<sub>3</sub> in hermetic Teflon jars and heated at 140 °C for seven days in order to be well dissolved. Separation and purification of the whole-rock Sr-Nd isotope were achieved using conventional cation columns (AG50W and P507). The

whole-rock Sr-Nd isotope analyses were performed on the Micromass Isoprobe multi-collector ICP-MS (MC-ICP-MS). Standard sample BCR-2 was used to evaluate the separation and purification process of Rb, Sr, Sm, and Nd. Mass fractionation corrections for  $^{87}\text{Sr}/^{86}\text{Sr}$  and  $^{143}\text{Nd}/^{144}\text{Nd}$  ratios were normalized to  $^{86}\text{Sr}/^{88}\text{Sr}=0.1194$  and  $^{146}\text{Nd}/^{144}\text{Nd}=0.7219$ , respectively.

## 5. Results

### 5.1 Mineral chemistry

As the minerals in the basaltic lavas are not fresh (Fig. 3C and D), we only obtain chemical compositions of plagioclase and clinopyroxene from the Tatuio diabase dykes. Representative plagioclase and clinopyroxene compositions of the diabase dykes are given in Table S1 and Table S2, respectively. The plagioclases in the diabase dykes show a wide compositional range and their anorthite contents vary from 36.74 to 71.75 wt.% (Fig. 4A; Table S1). The clinopyroxenes in the diabase dykes are mainly augites, with a formula of  $\text{Wo}_{37.1-43.7}\text{En}_{37.4-45.7}\text{Fs}_{13.4-19.7}$  (Fig. 4B; Table S2). Their Mg# [ $100 \times \text{Mg}^{2+}/(\text{Mg}^{2+} + \text{Fe}^{2+})$ ] varies from 67 to 78 (Table S2), and is not correlated with  $\text{Cr}_2\text{O}_3$  (not shown). The clinopyroxenes are characterized by high  $\text{TiO}_2$  (0.82-1.62 wt.%),  $\text{MgO}$  (12.85-16.09 wt.%), low  $\text{Al}_2\text{O}_3$  (2.88-4.85 wt.%),  $\text{Cr}_2\text{O}_3$  (0-0.07 wt.%),  $\text{Na}_2\text{O}$  (0.35-0.59 wt.%) and  $\text{K}_2\text{O}$  (0-0.04 wt.%) (Table S2). The clinopyroxene compositions are consistent with those of rift-related igneous rocks (Fig. 4B and C). In the  $\text{Al}_Z$  versus  $\text{TiO}_2$  diagram (Fig. 4C), the clinopyroxene compositions show a rift-related trend.

## 5.2 Whole-rock major and trace element data

Thirteen basaltic lavas and twelve diabase dykes were analyzed for whole-rock major and trace elements; results are listed in [Table S3](#). Thin section observations indicate that the basaltic lavas and diabase dykes have undergone varying degrees of low-grade greenschist facies metamorphism during post-magmatic processes ([Fig. 3C](#), D, E, H and I). This metamorphism might have modified the contents of fluid-mobile elements (e.g., Ca, K, Na, Rb, Sr and Cs). As a consequence, we have used the immobile elements (e.g., REEs and HFSEs), some major elements (e.g., Si, Fe, Mg, Ti and Al), transitional elements (e.g., Cr, Ni and V) and Nd isotopic data for rock classification and discussion of the petrogenesis. Given the relatively high mobility of Sr and Rb, Sr isotopic data are used and interpreted with caution.

The Tatuo basaltic lavas show varying  $\text{SiO}_2$  (47.83-53.31 wt.%, normalized to an anhydrous basis),  $\text{FeO}_T$  (12.21-16.58 wt.%), relatively high MgO (5.17-8.50 wt.%), medium to high  $\text{TiO}_2$  (1.40-2.62 wt.%), low Mg# (40-54), Cr (38-188 ppm) and Ni (47-115 ppm) values ([Table S3](#)). The diabase dykes have variable  $\text{SiO}_2$  (48.61-55.67 wt.%), high  $\text{TiO}_2$  (2.11-3.06 wt.%),  $\text{FeO}_T$  (10.60-12.52 wt.%), low MgO (3.73-4.83 wt.%), Cr (2-29 ppm) and Ni (4-19 ppm) contents ([Table S3](#)). In the Zr/ $\text{TiO}_2$  versus Nb/Y diagram ([Fig. 5A](#)), all of the samples plot in the sub-alkaline basalt field. In the  $\text{TiO}_2$  versus  $\text{FeO}_T/\text{MgO}$  diagram ([Fig. 5B](#)), the Tatuo mafic igneous rocks show a tholeiitic trend. The basaltic samples have low ratios of Ti/Y (280-463) and Sm/Yb (1.23-1.82), whereas the diabase dyke samples show low Ti/Y (299-377) and relatively high Sm/Yb (2.13-3.03) ([Fig. 5C](#); [Table S3](#)).



The Tatu basaltic lavas show a large range of total REE contents (47-103 ppm) and possess similar chondrite-normalized REE patterns, with varying LREE enrichment [ $\text{La}_\text{N}/\text{Yb}_\text{N}=1.55\text{-}2.89$ ; hereafter, subscript N denotes normalized to the chondrite values of Sun and McDonough (1989)], weak HREE depletion ( $\text{Gd}_\text{N}/\text{Yb}_\text{N}=1.25\text{-}1.75$ ) and insignificant Eu anomalies ( $\text{Eu}/\text{Eu}^*=0.89\text{-}0.97$ ) (Fig. 6A). In the primitive mantle-normalized multi-element diagrams (Fig. 6B), the basaltic lavas are characterized by weak “humped” patterns with varying enrichment in U, Ta and REEs and lack negative Nb anomalies. The Tatu basaltic lavas show geochemical affinities similar to the present-day E-MORB, and their trace element patterns are also similar to those of the low-Ti basalts in Deccan Traps of India (Fig. 6A and B).

The Tatu diabase dykes have consistent chondrite-normalized REE patterns with obvious LREE enrichment ( $\text{La}_\text{N}/\text{Yb}_\text{N}=7.34\text{-}10.50$ ), moderate HREE depletion ( $\text{Gd}_\text{N}/\text{Yb}_\text{N}=1.79\text{-}2.26$ ) and discernible negative Eu anomalies ( $\text{Eu}/\text{Eu}^*=0.81\text{-}0.88$ ) (Fig. 6C). In the primitive mantle-normalized multi-element diagrams (Fig. 6D), the diabase dyke samples display enrichment in Th, U and LREEs with obvious negative Nb-Ta and Ti anomalies. Their geochemical features of trace elements are different from those of OIB, E-MORB, N-MORB and island arc basalts (Fig. 6C and D).

### 5.3 Zircon U-Pb ages

Two samples, including 17KL-25 (basaltic lava) and 18KL-63 (diabase dyke), were selected for zircon U-Pb age studies. The results of LA-ICP-MS U-Pb zircon analyses are given in Table S4. The cathodoluminescence (CL) images and zircon

U-Pb concordia plots are shown in [Fig. 7](#).

Zircon grains from lava sample 17KL-25, which is dolerite, are mainly subhedral to euhedral, colorless and transparent crystals. They have prismatic shapes (20-120  $\mu\text{m}$  long) with length-to-width ratios of 1.2:1-3:1 ([Fig. 7A](#) and B). The CL images indicate that these zircons have straight and wide oscillatory growth bands, which is typical of zircons from mafic igneous rocks (e.g., [Song et al., 2015a](#); [Dong et al., 2018b](#)). Zircons from sample 17KL-25 have variable concentrations of U (120-874 ppm) and Th (66-824 ppm), with high Th/U ratios that vary from 0.33 to 1.08 (average of 0.59) ([Table S4](#)). Five analyzed spots with Th/U ratios of 0.46-0.68 yield relatively old apparent  $^{206}\text{Pb}/^{238}\text{U}$  ages of 452-431 Ma, with a weighted mean of  $439.6 \pm 3.5$  Ma (MSWD=0.17; [Fig. 7A](#)). We interpret that these zircons are xenocrysts and the age of ~440 Ma is consistent with the timing of arc magmatism in the EKO (e.g., [Jiang et al., 2015](#)). Sixteen analyzed spots yield apparent  $^{206}\text{Pb}/^{238}\text{U}$  ages of 425-395 Ma with a weighted mean of  $407.0 \pm 3.9$  Ma (MSWD=2.3; [Fig. 7B](#)), which is interpreted as the eruption time of the Tatuo basaltic lavas.

Most zircon grains from the diabase dyke sample (18KL-63) are sub-rounded, rounded and oval in morphology and show equant to long prismatic shapes (20-150  $\mu\text{m}$  long) with length-to-width ratios of 1:1-3.5:1 ([Fig. 7C](#)). CL images indicate that these zircons are mainly light to dark grey with clear oscillatory zoning. Some zircons have inherited cores and overgrown rims ([Fig. 7C](#)). Twelve analyses were conducted on these zircons and yield old apparent  $^{206}\text{Pb}/^{238}\text{U}$  ages of 2447-467 Ma ([Table S4](#)), which are likely to be xenocrysts. Three zircon grains are subhedral to euhedral, and

stubby (30-60  $\mu\text{m}$  long with length-to-width ratios of 1.2:1-1.8:1) (Fig. 7C). CL images show that the three zircon grains have straight and wide oscillatory growth bands (Fig. 7C), typical of zircons derived from mafic magmas. The three zircons show various concentrations of U (546-727 ppm) and Th (283-458 ppm) with high Th/U ratios varying from 0.43 to 0.63 (Table S4). They yield the youngest apparent  $^{206}\text{Pb}/^{238}\text{U}$  ages of 406-400 Ma with a weighted mean of  $402.7 \pm 4.8$  Ma (MSWD=0.58; Fig. 7D). Thus, we interpret the age of ~403 Ma to represent the emplacement timing of the diabase dykes, which is coeval, within error, with the basaltic lavas.

#### 5.4 Whole-rock Sr-Nd isotope data

Eight basaltic lava and four diabase dyke samples were analyzed for whole-rock Sr-Nd isotopic compositions. The results are listed in Table S5 and plots of  $\epsilon_{\text{Nd}}(t)$  versus  $I_{\text{Sr}}(t)$  are displayed in Fig. 8A. Initial isotopic ratios of basaltic lavas and diabase dykes were calculated at 407 Ma and 403 Ma, respectively. Both the basaltic lava and diabase dyke samples show relatively wide ranges of the whole-rock Sr-Nd isotopic compositions (Table S5; Fig. 8A). The basaltic lavas show weakly to moderately positive  $\epsilon_{\text{Nd}}(t)$  values (+1.27 to +4.65) with initial  $^{87}\text{Sr}/^{86}\text{Sr}$  ratios ranging from 0.70359 to 0.71041. Several basaltic samples (11KL-02, 05, 39 and 41 and 17KL-25) exhibit a trend towards high Sr isotope values at a constant  $\epsilon_{\text{Nd}}(t)$  value, which is likely to be due to alteration by hydrothermal fluids (Fig. 8A). The diabase dyke samples have moderately negative  $\epsilon_{\text{Nd}}(t)$  values (-4.54 to -1.88) and relatively high initial  $^{87}\text{Sr}/^{86}\text{Sr}$  ratios ranging between 0.70886 to 0.71513. Notably, the diabase

dyke samples do not show a trend parallel to abscissa axis with increasing  $I_{Sr}(t)$ , indicating that late alteration could be insignificant (Fig. 8A). Two-stage depleted mantle model ages ( $T_{DM2}$ ) of the basaltic lavas vary from 769 Ma to 1044 Ma, whereas the  $T_{DM2}$  ages of the diabase dykes are much older, in the range of 1298-1513 Ma (Table S5). In the  $\epsilon_{Nd}(t)$  versus Mg# diagram (Fig. 8B), the basaltic lavas show a moderately segmented trend, suggesting significant fractional crystallization and limited crustal contamination during magma evolution and migration. The obvious negative correlations between  $\epsilon_{Nd}(t)$  and Mg# (Fig. 8B) indicate that the diabase dyke samples were strongly affected by crustal contamination.

## 6. Discussion

### 6.1 Petrogenesis

#### 6.1.1 Fractional crystallization

In general, primary magmas generated from mantle peridotites should have high Cr (300-500 ppm), Ni (300-400 ppm) and Mg# values (68-76) (Frey et al., 1978; Wilson, 1989). All the Tatuó mafic igneous rocks are characterized by low Cr (2-188 ppm), Ni (4-115 ppm) and Mg# values (37-54) (Table S3), indicating fractional crystallization of olivine, pyroxene and spinel during the magmatic evolution. For the basaltic lavas, the possible fractional crystallization can also be observed in the flat trend of the  $\epsilon_{Nd}(t)$  versus Mg# diagram (Fig. 8B). Negative correlations of MgO and  $FeO_T$  with  $SiO_2$  (Fig. 9A and B) imply that the primary magmas of both the basaltic lavas and diabase dykes experienced fractional crystallization of mafic minerals. For the basaltic lavas, the relatively poor correlation between  $Al_2O_3$  and  $SiO_2$  ( $r=0.55$ ; Fig.

9C) implies limited fractionation of plagioclase, consistent with the insignificant Eu anomalies ( $\text{Eu}/\text{Eu}^*=0.89\text{-}0.97$ ) (Fig. 6A). In contrast, for the diabase dykes, the strongly negative correlation of  $\text{Al}_2\text{O}_3$  with  $\text{SiO}_2$  ( $r=0.94$ ; Fig. 9C) indicates possible plagioclase crystallization, supported by the negative Eu anomalies ( $\text{Eu}/\text{Eu}^*=0.81\text{-}0.88$ ) (Fig. 6C). In addition, Fe-Ti oxide crystallization plays an important role in the evolution of the basaltic lavas, which is suggested by the negative correlation between  $\text{TiO}_2$  and  $\text{MgO}$  (Fig. 9D). For the diabase dykes, fractionation of Fe-Ti oxides can be discounted, due to the absence of a negative correlation between  $\text{TiO}_2$  and  $\text{MgO}$  (Fig. 9D). The obvious correlations of Ni and V with Cr (Fig. 9E and F) indicate that primary magmas of both the basaltic lavas and diabase dykes underwent significant fractionation of clinopyroxene. Hornblende crystallization can be discounted for both the basaltic lavas and diabase dykes, because of the absence of MREE depletion (Fig. 6A and C). In summary, the basaltic lavas underwent fractionation of clinopyroxene, Fe-Ti oxide and minor plagioclase, whereas the diabase dykes likely experienced fractionation of clinopyroxene and plagioclase.

#### 6.1.2 Crustal contamination

It is important to evaluate the potential role of crustal contamination in the Tatuo mafic igneous rocks. Contamination appears to be limited for the Tatuo basaltic lavas because: (1) crustal contamination could give rise to negative Nb-Ta and positive Zr-Hf anomalies (Zhou et al., 2007), but the basaltic lavas are characterized by slightly negative to positive Nb anomalies [ $\text{Nb}_{\text{PM}}/\text{La}_{\text{PM}}=0.70\text{-}1.01$ ; hereafter, subscript

PM denotes normalized to the primary mantle values of [Sun and McDonough \(1989\)](#)], positive Ta anomalies ( $Ta_{PM}/Th_{PM}=0.93-2.59$ ) and slightly negative Zr-Hf anomalies ([Fig. 6B](#)); (2) in the Th/Yb versus Nb/Yb diagram ([Fig. 10A](#)), all the basaltic samples plot within the MORB-OIB array; (3) in the Nb/Th versus Nb/La diagram ([Fig. 10B](#)), the basaltic samples do not show a crustal contamination trend; and (4) the presence of moderately segmented trends between  $\epsilon_{Nd}(t)$  and Mg# ([Fig. 8B](#)) for the basaltic lavas also confirms the limited effect of crustal contamination.

By comparison, the influence of crustal contamination is very significant for the Tatuio diabase dykes, due to the presence of the prominent negative correlation between  $\epsilon_{Nd}(t)$  and Mg# ([Fig. 8B](#)). Crustal contamination can also be inferred by the abundant zircons captured by the mafic dykes (see above). It is worth noting that the upper continental crust is more enriched in Th compared to lower and middle continental crusts ([Rudnick and Gao, 2003](#)), indicating that the crustal contaminant for the Tatuio diabase dykes could be derived from the upper continental crust.

### 6.1.3 Mantle source

Mafic igneous rocks were generally derived from either lithospheric mantle or asthenospheric mantle ([George and Rogers, 2002](#); [Dong et al., 2019](#)). Rocks derived from the lithospheric mantle are characterized by low  $\epsilon_{Nd}(t)$  values and high initial  $^{87}Sr/^{86}Sr$  ratios, whereas those from the asthenospheric mantle have depleted signatures in isotopes (e.g., [Dong et al., 2019](#)). In our study, although some basaltic samples (17KL-25 and 11KL-02, 05, 39 and 41) show relatively high initial  $^{87}Sr/^{86}Sr$  ratios due to the subsequent alteration ([Fig. 8A](#)), all the basaltic lavas are

characterized by high  $\epsilon_{\text{Nd}}(t)$  (+1.27 to +4.65) and slightly enriched in LREEs and HFSEs with insignificant crustal contamination (Figs. 6A-B and 8B). These pieces of evidence indicate that the basaltic lavas could be derived from the asthenospheric mantle and show geochemical affinities with E-MORB (Hofmann, 1997). In the Th/Yb versus Nb/Yb and Nb/Zr versus Th/Zr diagrams (Fig. 10A and C) the entire basaltic sample set plots within the MORB-OIB array, and close to E-MORB.

By comparison, the diabase dyke samples are characterized by negative  $\epsilon_{\text{Nd}}(t)$  (-4.54 to -1.88) and high initial  $^{87}\text{Sr}/^{86}\text{Sr}$  (0.70886 to 0.71513) with  $T_{\text{DM2}}$  ages of 1298-1513 Ma (Table S5), implying that they originated from the lithospheric mantle. Moreover, the Zr/Nb (15.90-31.76), Th/Ta (10.41-15.30) and La/Nb (3.26-4.04) values of the diabase dykes are far higher than those of MORB (Sun and McDonough, 1989), further confirming their derivation from the lithospheric mantle. In general, the continental crust has negative Nb/Nb\* anomalies (0.23-0.55) [ $\text{Nb}/\text{Nb}^* = \text{Nb}_{\text{PM}}/(\text{Th}_{\text{PM}} \times \text{La}_{\text{PM}})^{1/2}$ ] and low Nb/La ratios (0.39-0.63) with positive Zr-Hf anomalies (Rudnick and Gao, 2003). In the Tatu case, the diabase dyke samples show much lower Nb/Nb\* (0.18-0.21) and Nb/La (0.25-0.29) values than those of the continental crust, indicating that their mantle source could be more complicated. For the diabase dykes, the negative Zr-Hf anomalies (Fig. 6D), especially for sample 17KL-72 and 18KL-63, indicate that their mantle source could have been metasomatized by subduction-related fluids/melts. The inference could be demonstrated in the Th/Yb versus Nb/Yb and Nb/Th versus Nb/La diagrams (Fig. 10A and B): the diabase dykes plot outside of the MORB-OIB array and show very

limited variation ranges, indicating that they inherit the features of a mantle source previously metasomatized by subduction-related fluids/melts. In the Nb/Zr versus Th/Zr diagram (Fig. 10C), the diabase dyke samples significantly deviate from the MORB-OIB array, reflecting the influence of slab-derived fluids.

To further determine the type of mantle source and effect of crustal contamination for the Tatuio mafic igneous rocks, we utilize the simple binary mixing model proposed by DePaolo (1981) and Zhou et al. (2007). In this modeling, we make the following assumptions: (1) the Wanbaogou sandstone from the EKO represents the crustal end member; (2) the Xiarihamu mafic-ultramafic intrusion in the EKO represents the subcontinental lithospheric mantle source; and (3) the E-MORB glasses from the Gakkel Ridge proposed by Mühe et al. (1997) represents the asthenospheric mantle source. As shown in Fig. 10D, the calculation results indicate that the basaltic lavas were derived from asthenospheric mantle (E-MORB) with insignificant (<4%) crustal contamination, whereas the diabase dykes were originated from subcontinental lithospheric mantle with various degrees (5-20%) of crustal contamination.

Ratios of REEs in basaltic lavas are used to distinguish the nature of the mantle source and roughly estimate the degree of melting (e.g., George and Rogers, 2002). In this study, we use the method suggested by George and Rogers (2002) to determine the nature of mantle source for the Tatuio mafic igneous rocks. As shown in Fig. 11A, the basaltic lavas have low ratios of La/Yb (2.16-4.03) and Tb/Yb (0.25-0.33), indicating that they were possibly produced by 5-7% partial melting of spinel-bearing mantle source. However, as mentioned above, the diabase dykes have been



significantly affected by crustal contamination and slab-derived fluids. In those processes, LREEs might be significantly changed, but HREEs could be inactive (e.g., [Green, 2006](#)). Thus, the low ratios of Tb/Yb (0.31-0.38) from the diabase dykes indicate a spinel-bearing mantle source ([Fig. 11A](#)).

#### 6.1.4 Mantle/crystallization temperature

Among the basaltic lavas, samples 17KL-21, 22 and 25 are the least-evolved with the highest MgO (8.29-8.50 wt.%) and Mg# (~54). For these samples, we invoke the method of [Lee et al. \(2009\)](#) to estimate the main oxide components for the primitive magmas and the mantle potential temperature ( $T_p$ ). The calculation results show that the primitive magmas are high-Mg basaltic compositions with 48.08-50.45 wt.% SiO<sub>2</sub>, 9.36-9.63 wt.% FeO<sub>T</sub> and 12.74-13.21 wt.% MgO. The resulting  $T_p$  varies from 1384 °C to 1400 °C. The mantle potential temperatures are very close to those of the typical upper mantle (1350 °C) ([Davies, 2009](#)).

For the diabase dykes, on account of the significant effects of crustal contamination and slab-derived fluids (see above), we use clinopyroxene-only thermometers proposed by [Nimis and Taylor \(2000\)](#) to give an approximate estimate of the crystallization temperature. In the calculation, we adopt the hypothesis that the high-Mg (Mg#>75) clinopyroxenes within the diabase dykes could be in equilibrium with the primitive magmas. Applying this method, the calculated crystallization temperature is between 1100 °C and 1135 °C for the Tatuio diabase dykes.

## 6.2 Tectonic setting of the EKO during ~426-390 Ma

Mafic igneous rocks can occur in multiple tectonic settings, such as mid-ocean

ridges, subduction zones and within-plate regimes (e.g., [Wilson, 1989](#)). The Tatuo mafic igneous rocks exhibit high  $\text{TiO}_2$  (1.40-3.06 wt.%), Zr (70-282 ppm), V (179-459 ppm), Zr/Y (3.0-6.0) and Zr/Sm (23.3-30.0), similar to those of the within-plate mafic rocks ([Wilson, 1989](#); [Li et al., 2008b](#)). In the V versus Ti/1000 diagram ([Fig. 11B](#)), the mafic rock samples mainly plot in the continental flood basalts (CFB) field. The affinities of within-plate basalts can also be observed by trace element patterns and clinopyroxene compositions ([Figs. 4B, 4C and 6](#)). However, the calculated mantle and crystallization temperatures (1100-1400 °C) for the Tatuo mafic igneous rocks are far less than those of the Hawaiian picrites (1500-1600 °C) ([Lee et al., 2009](#)), indicating that they are not associated with a mantle-plume event.

Several studies have proposed that the EKO records the evolution of the Proto-Tethys Ocean from early Cambrian subduction, to oceanic closure, to continental collision (e.g., [Qi et al., 2014](#); [Dong et al., 2018a](#); [Song et al., 2018](#); [Bi et al., 2018](#)). The UHP metamorphic ages in the EKO are mainly clustered at 428-426 Ma ([Song et al., 2018](#)), which represents the final closure of the Proto-Tethys Ocean ([Song et al., 2018](#); [Bi et al., 2018](#)) and are before the formation of the Tatuo mafic igneous rocks (407-403 Ma). Given these timings, the Tatuo mafic igneous rocks could have formed in a syn- or post-collisional setting. However, syn-collisional magmatic rocks are mainly originated from both oceanic and continental crust with rare mantle-derived components (e.g., [Song et al., 2015b](#)), such as the Xitieshan syn-collisional granites ([Zhao et al., 2017](#)). We therefore propose that the Tatuo mafic igneous rocks could form in a post-collisional extensional setting. Recent studies have

showed that ~412-392 Ma mafic igneous rocks with within-plate basalt features and ~426-390 Ma A<sub>2</sub>-type granitoids are distributed in other places in the EKO, such as Weibao, Haxiya and Wulonggou, and also interpret a post-collisional extensional setting (Yang et al., 2014; Zhong et al., 2017; Xin et al., 2018; Chen et al., 2020). Taking the spatial and temporal relations and tectonic settings of these ~426-390 Ma igneous rocks into consideration, we suggest that the whole EKO was in the post-collisional extensional setting during ~426-390 Ma.

### 6.3 Geodynamic Implications

In general, lithospheric mantle is relatively cold, and only melts to generate mafic magma if there is involvement of an additional heat source. For most post-collisional settings, the asthenospheric mantle is considered as the potential heat source (Zhao et al., 2018b). It is generally accepted that involvement of the asthenospheric mantle in melting in post-collisional settings results from one or more of the following: slab break-off, wholesale convective removal of the lithosphere, lithosphere delamination, and small-scale sublithospheric convection (e.g., Bird, 1979; Houseman et al., 1981; von Blanckenburg and Davies, 1995; Kaislaniemi et al., 2014; Zhu et al., 2015; Zhao et al., 2018b). In our case, any proposed tectono-magmatic model for the Tatuo mafic igneous rocks in the EKO must be able to explain the following features: (1) the volume is relatively small; (2) the mantle source for the basaltic lavas was the asthenospheric mantle; (3) the mantle source for the diabase dykes could be the lithospheric mantle metasomatized by slab-derived fluids; and (4) the mafic igneous rocks show within-plate geochemical affinities. In addition, the

503 tectono-magmatic model must also be responsible for the following observations: (1)  
504 as shown in Fig. 11C, peak magmatism in the EKO occurred at ~423 Ma, postdating  
505 the UHP metamorphism with a very short time interval (~3-5 Myr); (2) the A<sub>2</sub>-type  
506 granitoids at the magmatic peak period could possibly be created with input of  
507 asthenosphere-derived components (Fig. 11D); and (3) the mafic igneous rocks in the  
508 EKO, dated at ~412-392 Ma (e.g., Yang et al., 2014; Xiong et al., 2014; Zhong et al.,  
509 2017), are scattered and have small volumes, such as the Weibao, Haxiya, Yuejinshan  
510 and Tatuo examples.

511 Slab break-off occurs due to the contrasting buoyancy and tensile forces,  
512 between the resistant, buoyant, continental lithosphere and subducting oceanic  
513 lithosphere (von Blanckenburg and Davies, 1995). The model predicts a linear zone of  
514 magmatism along the orogenic belt, occurring ~1-10 Myr after continental collision  
515 (e.g., von Blanckenburg and Davies, 1995; Macera et al., 2008). In this model, the  
516 magmatism is variable in composition, and includes bimodal volcanic rocks, A<sub>2</sub>-type  
517 felsic rocks and within-plate mafic rocks (e.g., von Blanckenburg and Davies; Zhu et  
518 al., 2015). The model is reasonable to explain two of the observations of the  
519 post-collisional magmatism in the EKO: (1) peak magmatism postdated the UHP  
520 metamorphism by ~3-5 Myr (Fig. 11C), and (2) the possible input of  
521 asthenosphere-derived components started at ~423 Ma, synchronous with peak  
522 magmatism (Fig. 11D). However, the slab break-off model does not explain the  
523 temporally- and spatially-scattered style and volumes of the mafic magmatism in the  
524 EKO, dated at ~412-392 Ma (e.g., Yang et al., 2014; Xiong et al., 2014; Zhong et al.,

2017). In addition, the model does not explain the relatively long-term (~20 Myr) mafic within-plate magmatism in the EKO.

Convective removal of the lithosphere involves a regional detachment of the lower lithosphere (Houseman et al., 1981; Morency et al., 2002). The model has been used to explain the potassic and ultrapotassic rocks of orogenic plateaux (e.g., Song et al., 2015b), such as the 15.6-4.5 Ma volcanic rocks in Southwestern Anatolia, Turkey (Prelević et al., 2012). However, the lack of extensive potassic and ultrapotassic rocks in the EKO argues against the model of wholesale convective removal of the lithosphere.

The delamination model predicts that the lithospheric mantle peels away with or without portion of the eclogitic lower crust, resulting in the upwelling of heated asthenosphere and crust-mantle interaction (Bird, 1979). Lithospheric delamination would give rise to extensive lower and middle crustal melting as well as formation of massive amounts of asthenosphere-derived rocks. However, the lack of lower crust-derived rocks, such as adakites and tonalites, and large-scale asthenosphere-derived mafic igneous rocks rule out the delamination model for the EKO.

The small-scale sublithospheric convection model predicts that previous subduction resulted in wetting of the lower lithosphere and upper asthenosphere and a decrease in mantle viscosity, which gave rise to repeated and localized delamination of the lowermost lithosphere and upwelling of asthenosphere (Kaislaniemi et al., 2014; Kheirkhah et al., 2015). Results from numerical modeling indicate that the small-scale

lithospheric delamination could not be observed by seismic tomography at depths far shallower than 100 km (Kaislaniemi et al., 2014). In the model, the igneous rocks may have geochemical features similar to within-plate rocks (e.g., Kheirkhah et al., 2015). The model can explain long-term magmatic activities which display no clear patterns in space and time (Kaislaniemi et al., 2014). The model is reasonable to explain the spatially and temporally scattered pattern of the mafic igneous rocks dated at ~412-392 Ma in the EKO (e.g., Yang et al., 2014; Xiong et al., 2014; Zhong et al., 2017). In summary, we propose that the final collapse of the Proto-Tethys orogenic belt was induced by the repeated and localized delamination of lithospheric mantle during ~412-390 Ma.

There is commonly a time lag of >30-40 Myr between the post-collisional magmatism and HP-UHP metamorphism in typical collisional orogenic belts worldwide (e.g., Song et al., 2015b). However, the time lag between the post-collisional magmatism and UHP metamorphism in the EKO is relatively short and less than 36 Myr, which is different from the typical collisional orogenic belts around the world. These pieces of evidence indicate that the EKO is distinctive. Several studies have documented that the seafloor spreading of the Paleo-Tethys Ocean started at ~383-333 Ma in the northern and eastern Tibetan plateau, with data from regions such as Qiangtang, East Kunlun, Jinshajiang and Ailaoshan (e.g., Jian et al., 2009; Zhai et al., 2013; Pei et al., 2018). It is generally accepted that early continental rifting related to the opening of the Paleo-Tethys Ocean occurred at ~443-401 Ma, based on low-Ti-CFB xenoliths in the Jinshajiang mélangé (Jian et al.,

2009). This age is almost synchronous with the formation of the post-collisional igneous rocks (~426-390 Ma) in the EKO. Therefore, we propose that the ~426-390 Ma post-collisional igneous rocks in the EKO are a magmatic response to the early continental rifting related to the formation of the Paleo-Tethys Ocean.

Previous studies have proposed that mantle plumes or back-arc rifting plays a vital role in the opening of ocean basins (e.g., Stampfli and Borel, 2002; Zhang et al., 2018). In the case of the EKO, back-arc rifting could be responsible for the formation of the Paleo-Tethys Ocean, because: (1) the large-scale alkaline flood basalts are absent in the EKO; (2) the calculated mantle/crystallization temperatures for the Tatuo mafic igneous rocks are relatively low (1100-1400 °C; see above), implying that the EKO did not possess thermal anomalies at this time (Li et al., 2008b; Lee et al., 2009); and (3) arc-related igneous rocks, dated at ~480-438 Ma (Liu et al., 2013; Jiang et al., 2015; Dong et al., 2018), are common in the EKO. Given the distribution of Early Paleozoic arc rocks and results of structural analyses (Liu et al., 2013; Jiang et al., 2015; Li et al., 2018; Dong et al., 2018a), we further suggest that the back-arc rifting could have result from the southwards subduction of the Proto-Tethys Ocean. These pieces of evidence indicate that the ~426-390 Ma post-collisional igneous rocks in the EKO recorded the transition from the Proto- to Paleo-Tethys oceans.

We propose the following geodynamic model for the full evolution of the EKO (Fig. 12). During the Proto-Tethys subduction stage, the lithospheric mantle was metasomatized by the slab-derived fluids, accompanying the formation of arc-related igneous rocks (e.g., Jiang et al., 2015; Dong et al., 2018a), and subduction beneath the

East Kunlun-North Qiangtang Block led to back-arc rifting (Fig. 12A). As the subduction proceeded, the Proto-Tethys oceanic slab reached up to UHP eclogite facies during ~428-426 Ma (Song et al., 2018), marking the final closure of the Proto-Tethys Ocean and onset of continental collision (Fig. 12B). Slab break-off of the Proto-Tethys oceanic plate occurred at ~423 Ma, shortly after continental collision (Fig. 12B). During this stage, uprising asthenosphere would have impinged upon the lithospheric mantle of the overriding slab, accompanying exhumation of HP-UHP rocks and rapid orogenic uplift (Fig. 12B), which is evidenced by the 423-400 Ma deposition of molasse sediments in the EKO (Lu et al., 2010). In addition, conductive heating would have resulted in the partial melting of continental crust, generating 426-418 Ma A<sub>2</sub>-type granitoids (Xin et al., 2018; Chen et al., 2020) (Fig. 12B). Finally, the EKO collapsed by the repeated and localized delamination of the lower lithosphere during ~412-390 Ma, which could be facilitated by the continental rifting. The Paleo-Tethys Ocean has started to grow since ~383 Ma (Fig. 12C). During this process, upwelling of asthenosphere led to the formation of mafic igneous rocks, which show within-plate geochemical features and have no clear patterns in time and space (e.g., Yang et al., 2014; Xiong et al., 2014; Zhong et al., 2017).

## 7. Conclusions

The Tatuó mafic igneous rocks are mainly composed of basaltic lavas and diabase dykes. Geochemical and isotopic data suggest that the basaltic lavas could be originated from melting of a spinel-bearing asthenosphere (E-MORB) with insignificant (<4%) crustal contamination, whereas the diabase dykes were likely



derived from a spinel-bearing lithospheric mantle metasomatized by slab-derived fluids with 5-20% crustal contamination. The geochemical features of the Tatu mafic igneous rocks are similar to those of within-plate basalts. Magmatic zircons indicate that the basaltic lavas and diabase dykes formed at  $407.0 \pm 3.9$  Ma and  $402.7 \pm 4.8$  Ma, respectively. The formation of the Tatu mafic igneous rocks were related to both the final collapse of the Proto-Tethys orogenic belt, induced by repeated and localized delamination of lithospheric mantle, and continental rifting related to the formation of the Paleo-Tethys Ocean.

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## Figure Captions

**Fig. 1.** (A) Sketch map showing major tectonic units of mainland China, showing the location of East Kunlun in northwest China, modified after [Song et al. \(2017\)](#). (B) Simplified geological map of the East Kunlun Orogen and Qaidam-Qilian Orogenic Belt modified after [Song et al. \(2018\)](#). CAOB = Central Asian Orogenic Belt, CCOB = Central China Orogenic Belt, NCC = North China Craton, SCC = South China Craton, TB = Tarim Block, QT = Qiangtang Block, SU = Sibumasu block, NQAB = North Qilian Accretionary Belt, SQAB = South Qilian Accretionary Belt, NQUB = North Qaidam UHPM Belt.

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916 **Fig. 2.** (A) Geological map of the Tatuo area, modified after [BGQP \(1973\)](#). (B) Stratigraphic  
917 columns from the Kehete-Tatuo area, mainly showing the Silurian-Devonian volcanic-sedimentary  
918 strata. Pre. = Precambrian, Me. = Mesozoic.



920 **Fig. 3.** Field and photomicrographs of the Tatuio mafic igneous rocks. (A) and (B) Field  
921 occurrence of basaltic lavas. (C) and (D) Basalts showing phenocrysts in a matrix, composed of  
922 oriented fine- to micro-grained plagioclase, clinopyroxene, opaque mineral and altered minerals  
923 (cross-polarized light). (E) Dolerites showing a fine-grained ophitic texture (cross-polarized light).  
924 (F) and (G) Field occurrence of diabase dykes. (H) and (I) Diabase dykes showing fine- to  
925 medium-grained ophitic textures (cross-polarized light).

927 **Fig. 4.** (A) An-Ab-Or triangular diagram ([Smith and Brown, 1988](#)) showing the compositions of  
928 plagioclase for the Tatuio diabase dykes. (B) Wo-En-Fs diagram ([Morimoto, 1988](#)) for  
929 clinopyroxene for the Tatuio diabase dykes. (C) Alz (percentage of tetrahedral sites occupied by Al)  
930 versus TiO<sub>2</sub> (wt.%) diagram for the Tatuio diabase dykes. Tulameen data are from [Rublee \(1994\)](#).  
931 Rift-related Cpx compositions are from [Zhu et al. \(2008\)](#), [Li et al. \(2008b\)](#) and [Muravyeva et al.](#)  
932 [\(2014\)](#).

934 **Fig. 5.** (A)  $\text{Zr}/\text{TiO}_2$  versus  $\text{Nb}/\text{Y}$  ([Winchester and Floyd, 1976](#)), (B)  $\text{TiO}_2$  versus  $\text{FeO}_\text{T}/\text{MgO}$   
935 ([Miyashiro, 1974](#)) and (C)  $\text{Sm}/\text{Yb}$  versus  $\text{Ti}/\text{Y}$  diagrams for the Tatuo mafic igneous rocks.

936

937 **Fig. 6.** Chondrite-normalized REE and primitive mantle-normalized multi-element patterns for the  
938 Tatu mafic igneous rocks. Chondrite and primitive mantle normalizing values and N-MORB,  
939 E-MORB and OIB data are after [Sun and McDonough \(1989\)](#). Literature data are from [George et](#)  
940 [al. \(2003\)](#), [Pearce et al. \(2005\)](#) and [Melluso et al. \(2006\)](#).

941

942 **Fig. 7.** CL images of representative zircon grains and concordia diagrams for the Tatuo mafic  
943 igneous rocks.

944

945     **Fig. 8.** (A)  $\epsilon_{\text{Nd}}(t)$  versus  $I_{\text{Sr}}(t)$ , and (B)  $\epsilon_{\text{Nd}}(t)$  versus Mg# diagrams for the Tatu mafic igneous  
946     rocks.

947

948     **Fig. 9.** Plots of MgO versus SiO<sub>2</sub> (A), FeO<sub>T</sub> versus SiO<sub>2</sub> (B), Al<sub>2</sub>O<sub>3</sub> versus SiO<sub>2</sub> (C), TiO<sub>2</sub> versus  
949     MgO (D), Ni versus Cr (E) and V versus Cr (F) for the Tatuo mafic igneous rocks.

950

951 **Fig. 10.** (A) Th/Yb versus Nb/Yb (Pearce, 2008), (B) Nb/Th versus Na/La, (C) Nb/Zr versus  
952 Th/Zr (Zhao and Zhou, 2007) and (D) Th/Nb versus  $\epsilon_{\text{Nd}}(t)$  diagrams for the Tatu mafic igneous  
953 rocks. Primitive mantle (PM), N-MORB, E-MORB and OIB data are after Sun and McDonough  
954 (1989). The data for the lower and upper continental crust are from Rudnick and Gao (2003). The  
955 continental crust composition (Th=22.9 ppm, Nb=18.5 ppm and  $\epsilon_{\text{Nd}}(t)=-8.06$ ) is represented by the  
956 Wanbaogou sandstone (unpublished data). The SCLM composition (Th=0.08 ppm, Nb=0.12 ppm  
957 and  $\epsilon_{\text{Nd}}(t)=-1.39$ ) is from the Xiarihamu mafic-ultramafic intrusion in the EKO and is after Jiang et  
958 al. (2015). The asthenospheric mantle composition (Th=0.44 ppm, Nb=6.35 ppm and  $\epsilon_{\text{Nd}}(t)=+7.0$ )  
959 is from the Gakkel Ridge proposed by Mühe et al. (1997). LCC = Lower continental crust. UCC =  
960 Upper continental crust. SCLM = Subcontinental lithospheric mantle.



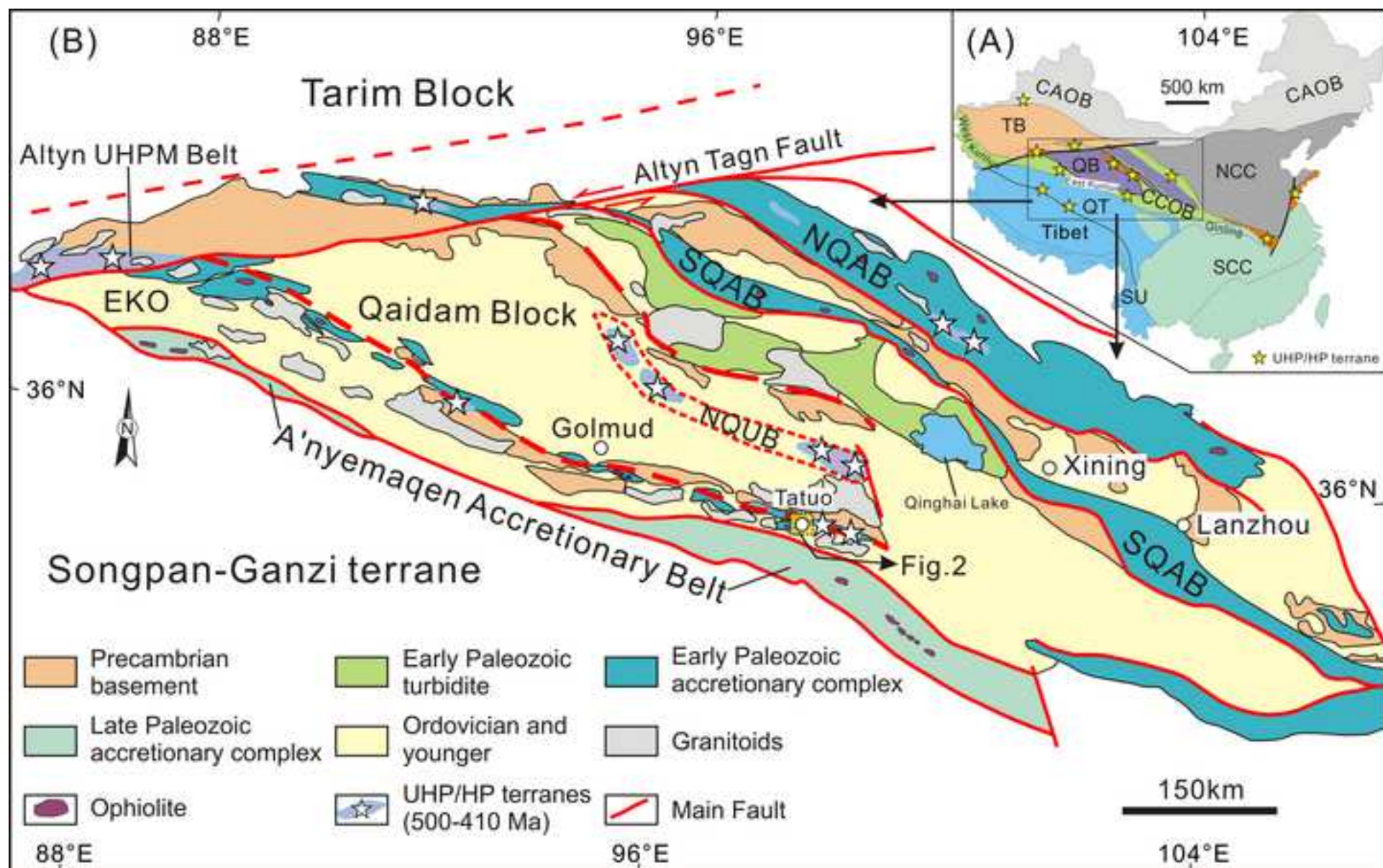
961

962 **Fig. 11.** (A) Tb/Yb versus La/Yb ([George and Rogers, 2002](#)) and (B) V versus Ti/1000 ([Shervais,](#)  
963 [1982](#)) diagrams for the Tatuio mafic igneous rocks. (C) The relative probability density and (D)  
964 zircon  $\epsilon_{\text{Hf}}(t)$  versus Age (Ma) diagrams for the Cambrian to Devonian magmatic rocks in the EKO.  
965 Age and zircon  $\epsilon_{\text{Hf}}(t)$  data are from [Tian et al. \(2016\)](#), [Zhou et al. \(2016\)](#), [Zheng et al. \(2018\)](#), [Xin](#)  
966 [et al. \(2018\)](#) and references therein and [Chen et al. \(2020\)](#).

967

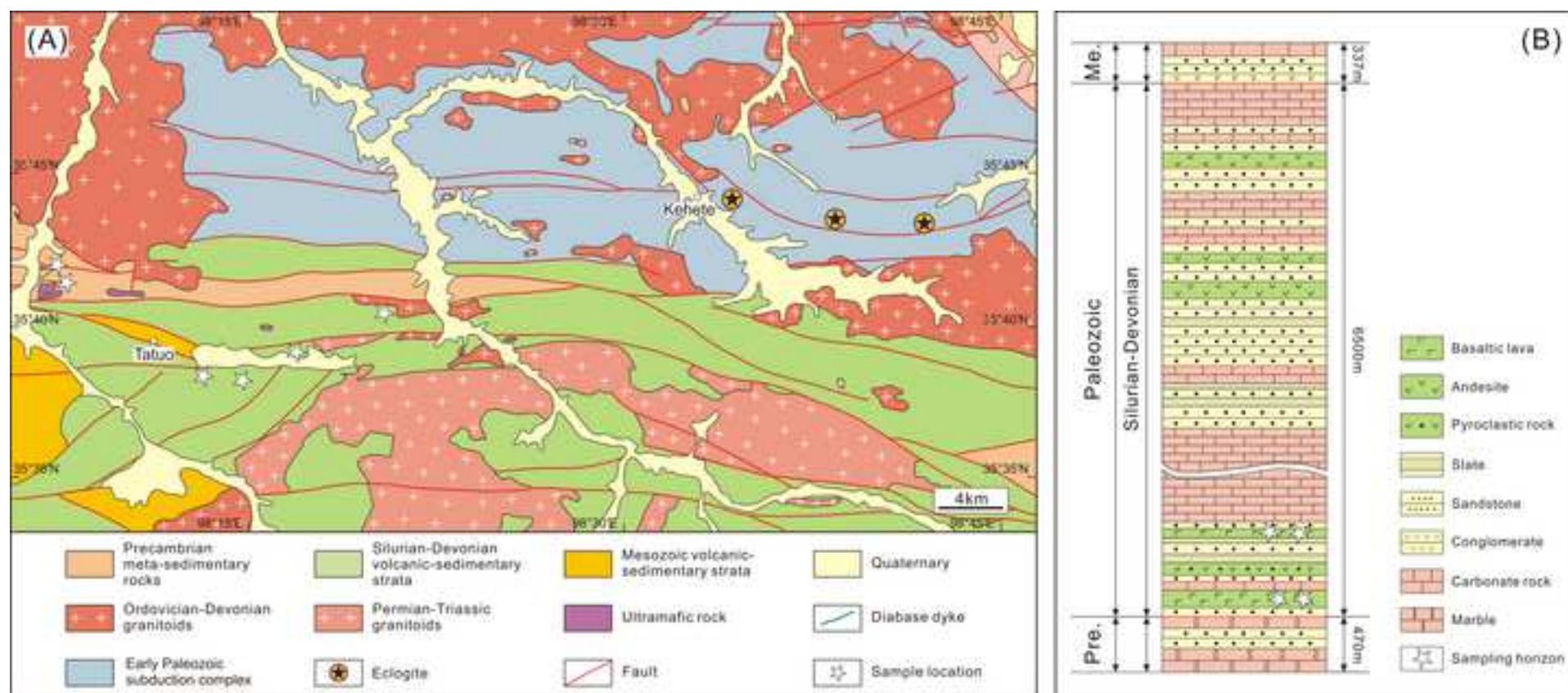
968     **Fig. 12.** Schematic cartoons showing the tectonic evolution of the EKO from the Cambrian to  
969     Devonian. QB = Qaidam Block, EKB = East Kunlun Block, NQTB = North Qiangtang Block.

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**Fig.2-R3**

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**Fig.3-R3**  
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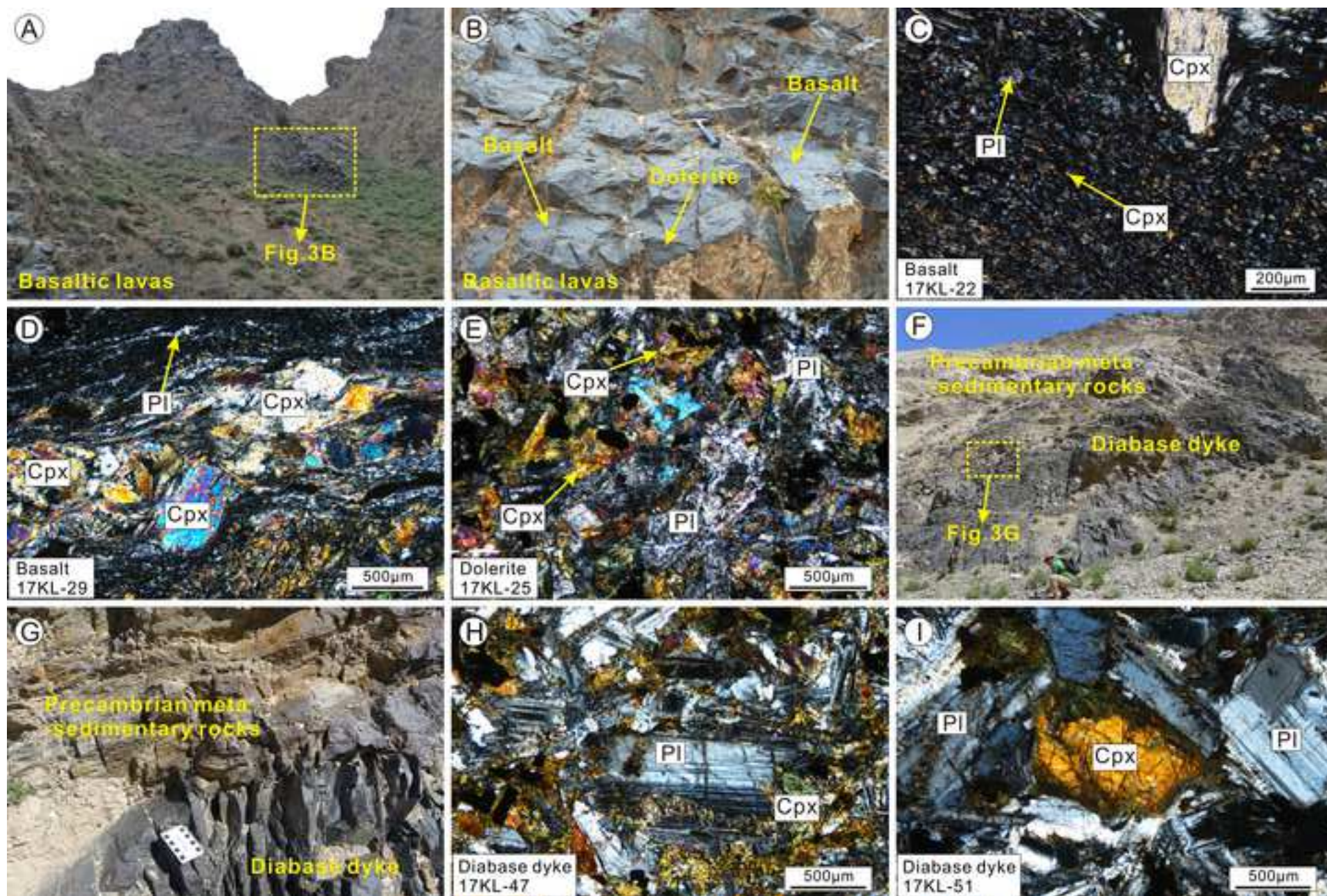
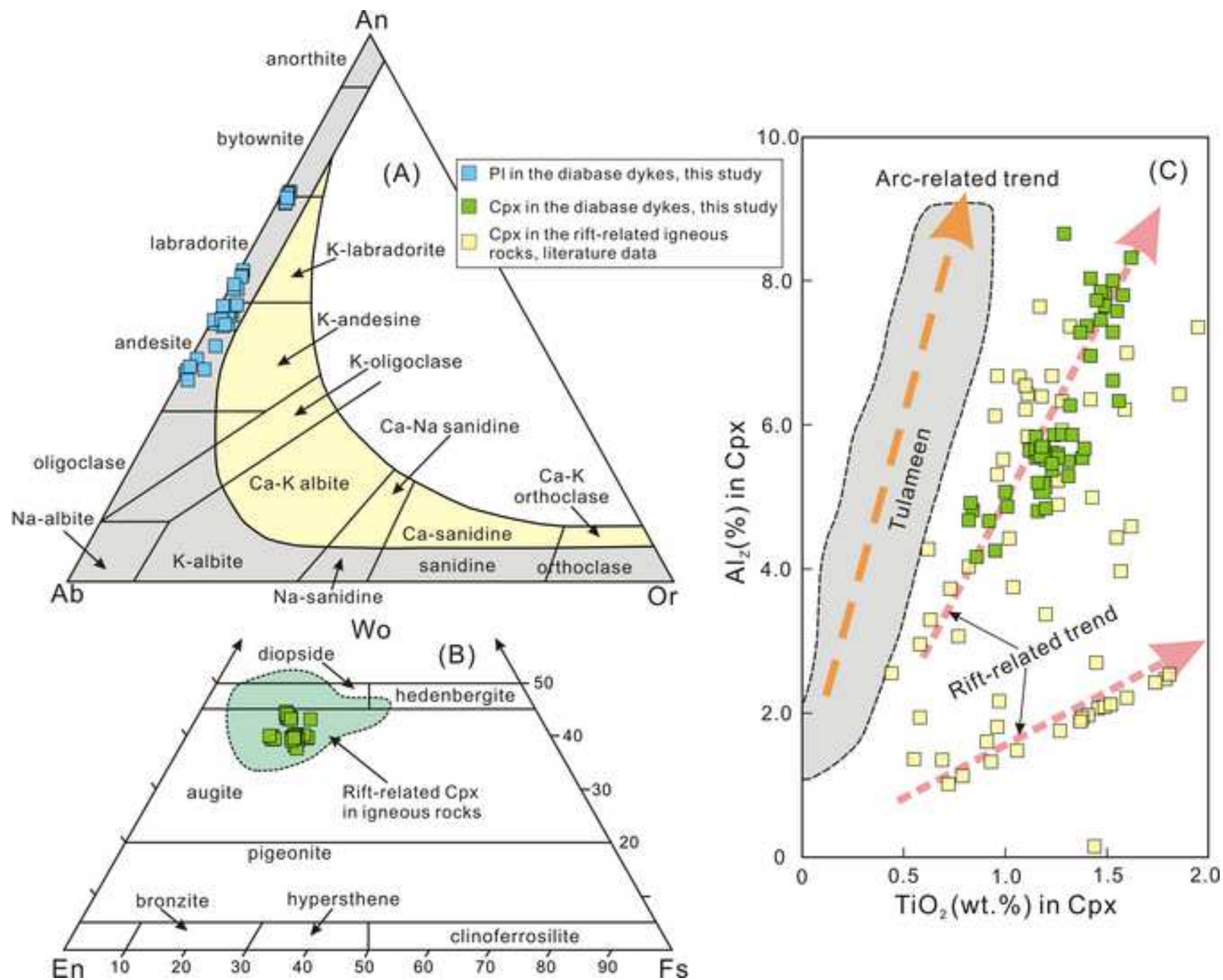


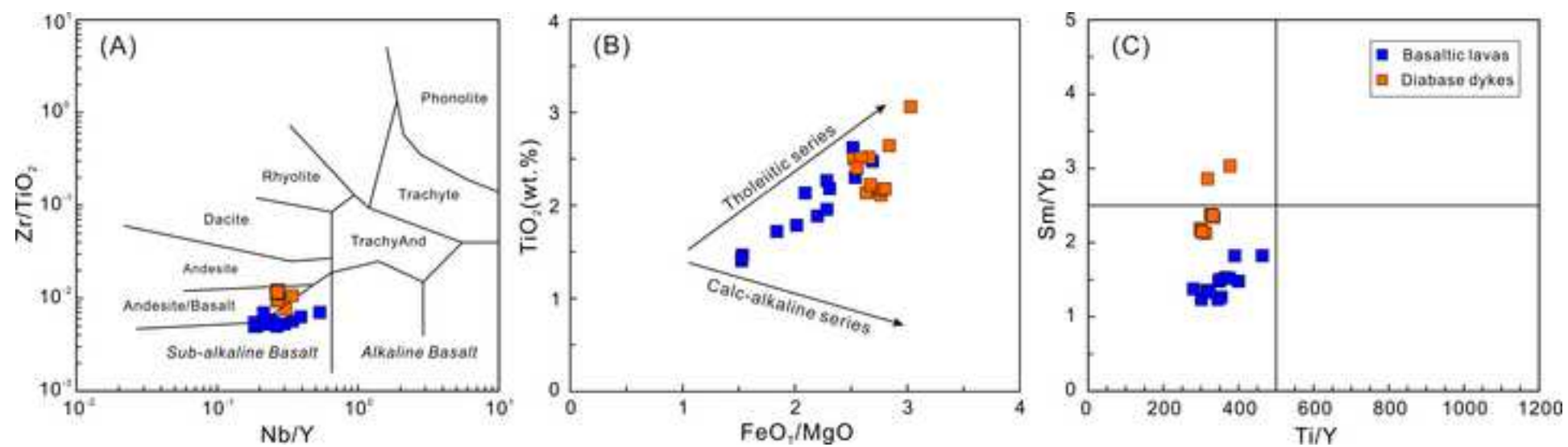


Fig.4-R3

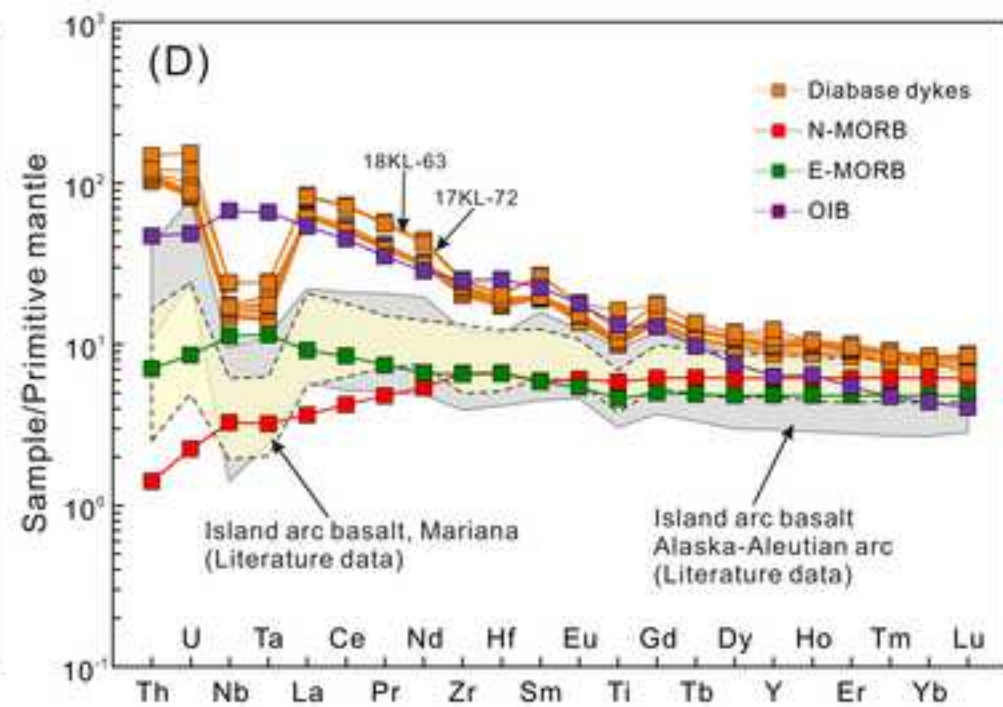
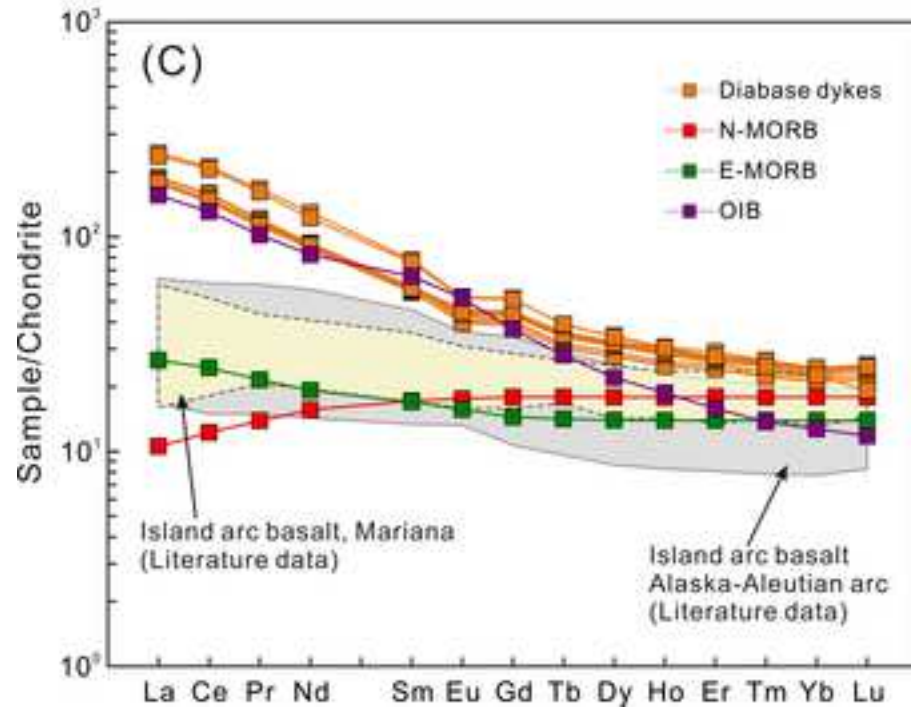
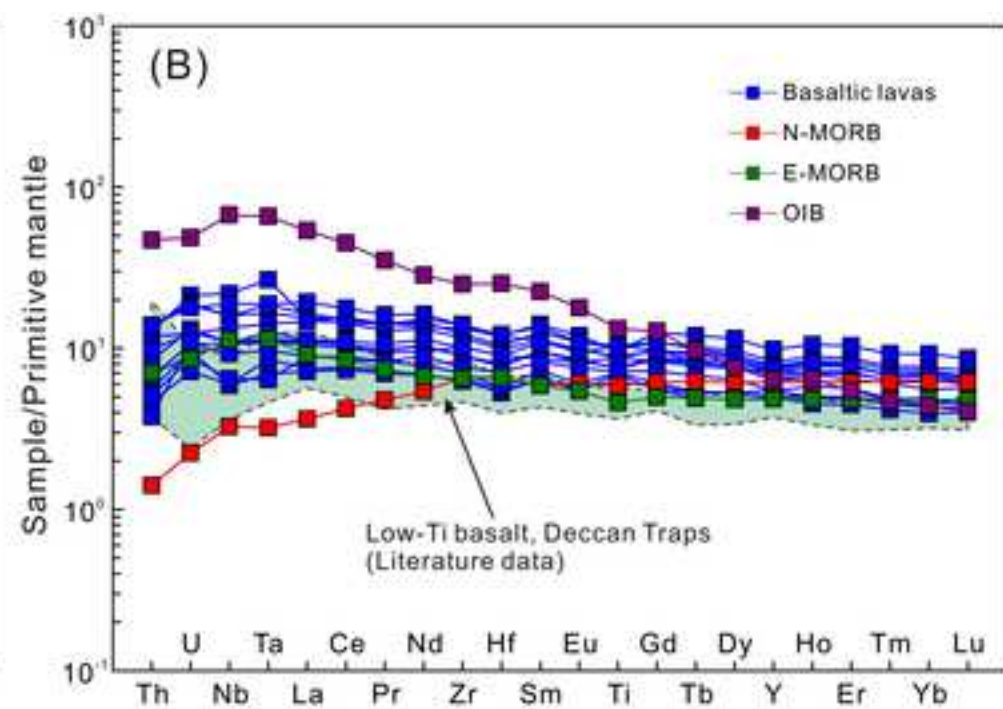
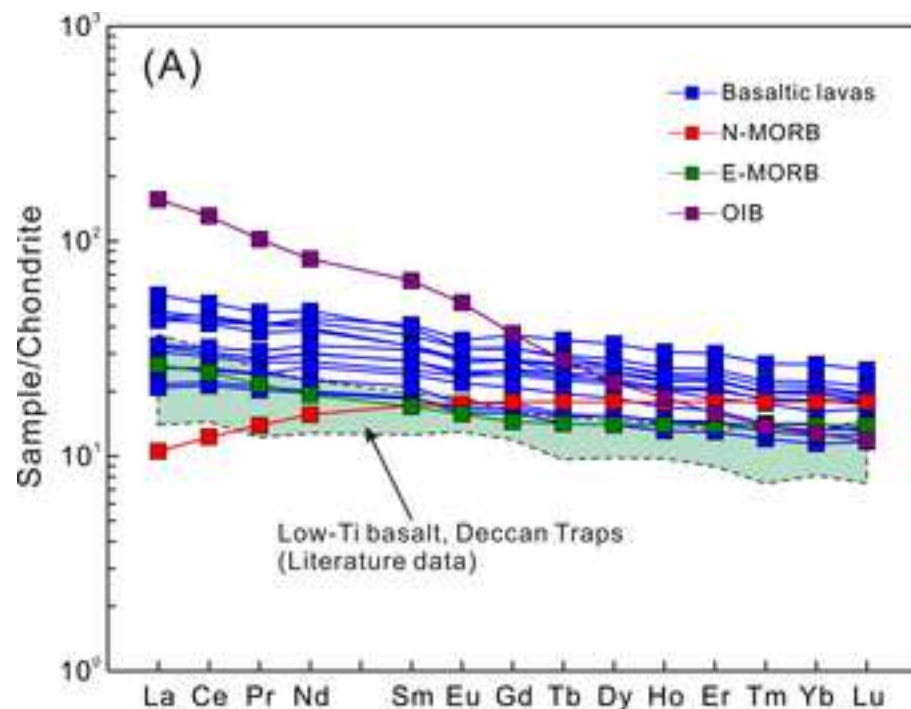
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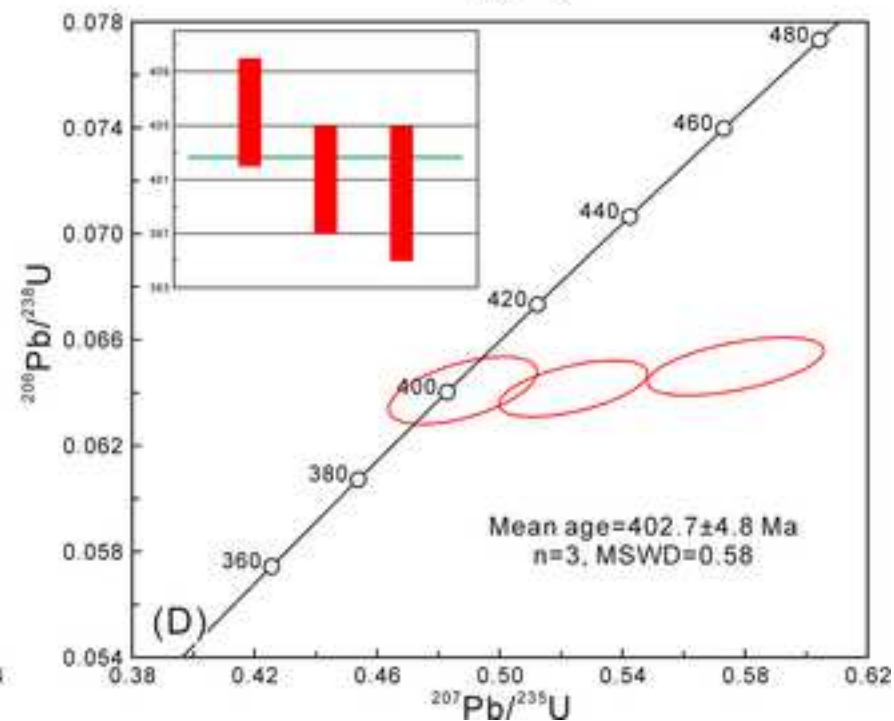
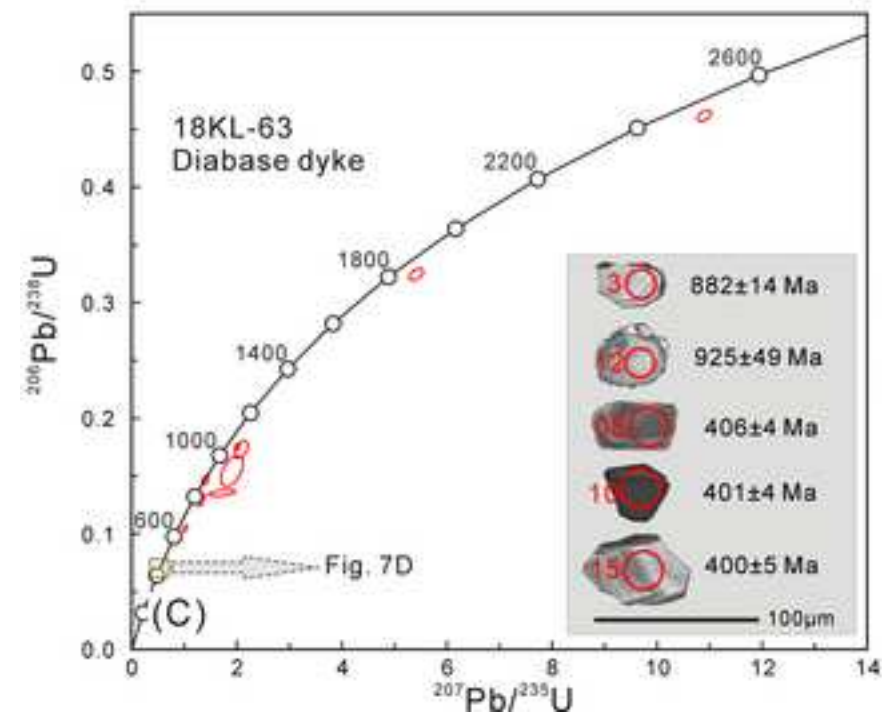
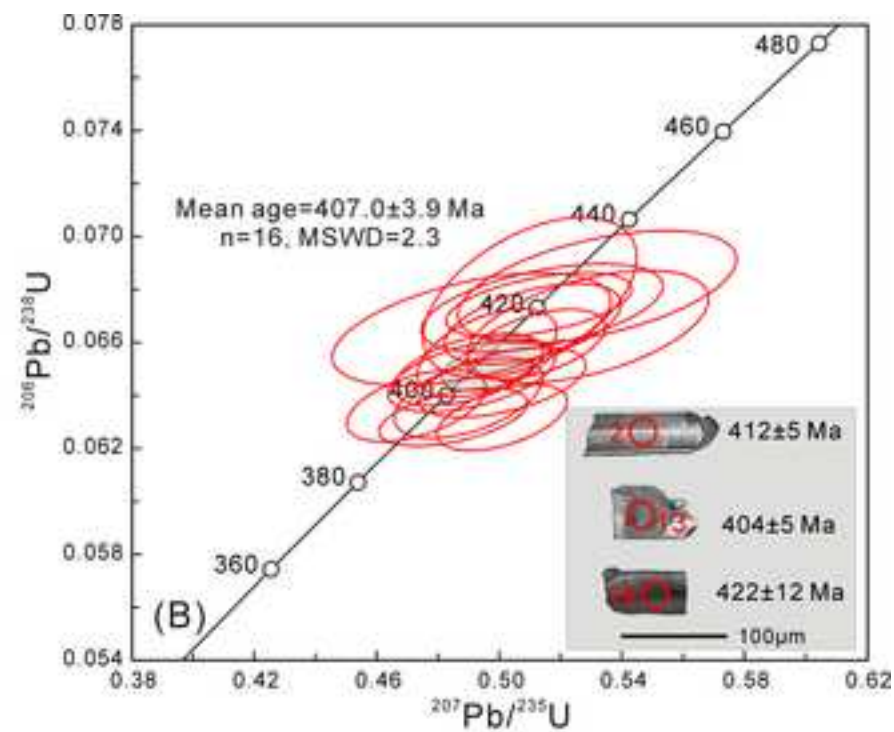
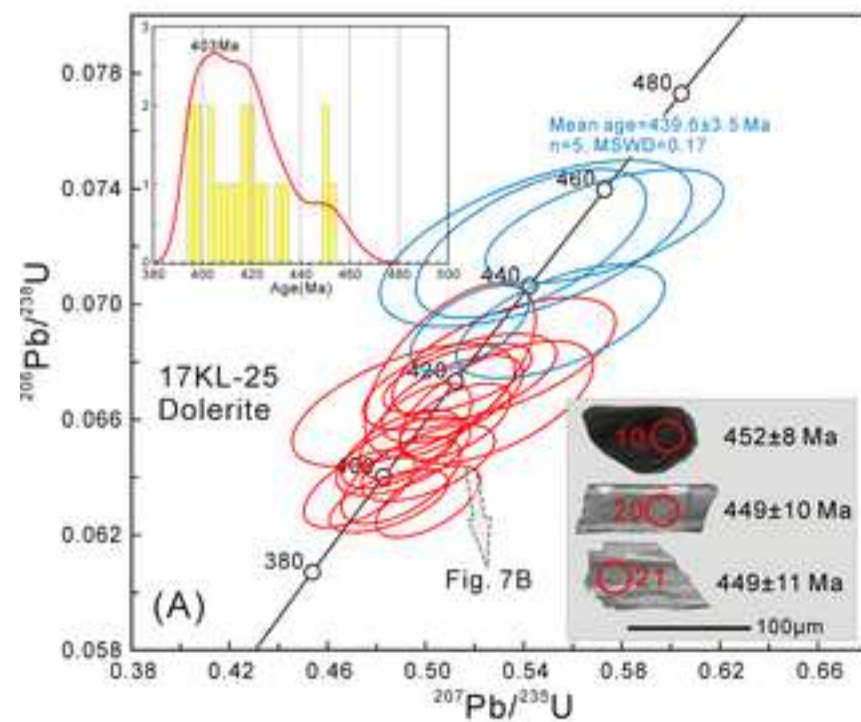
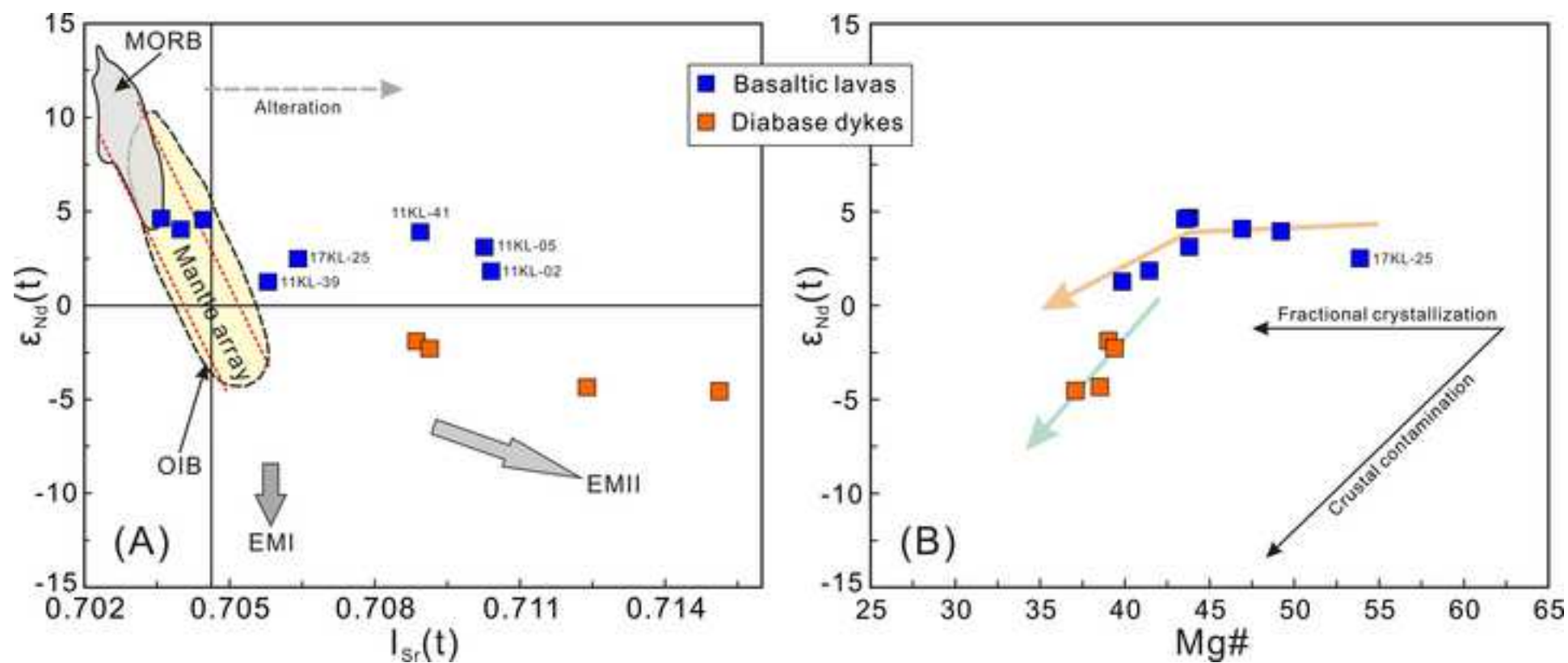


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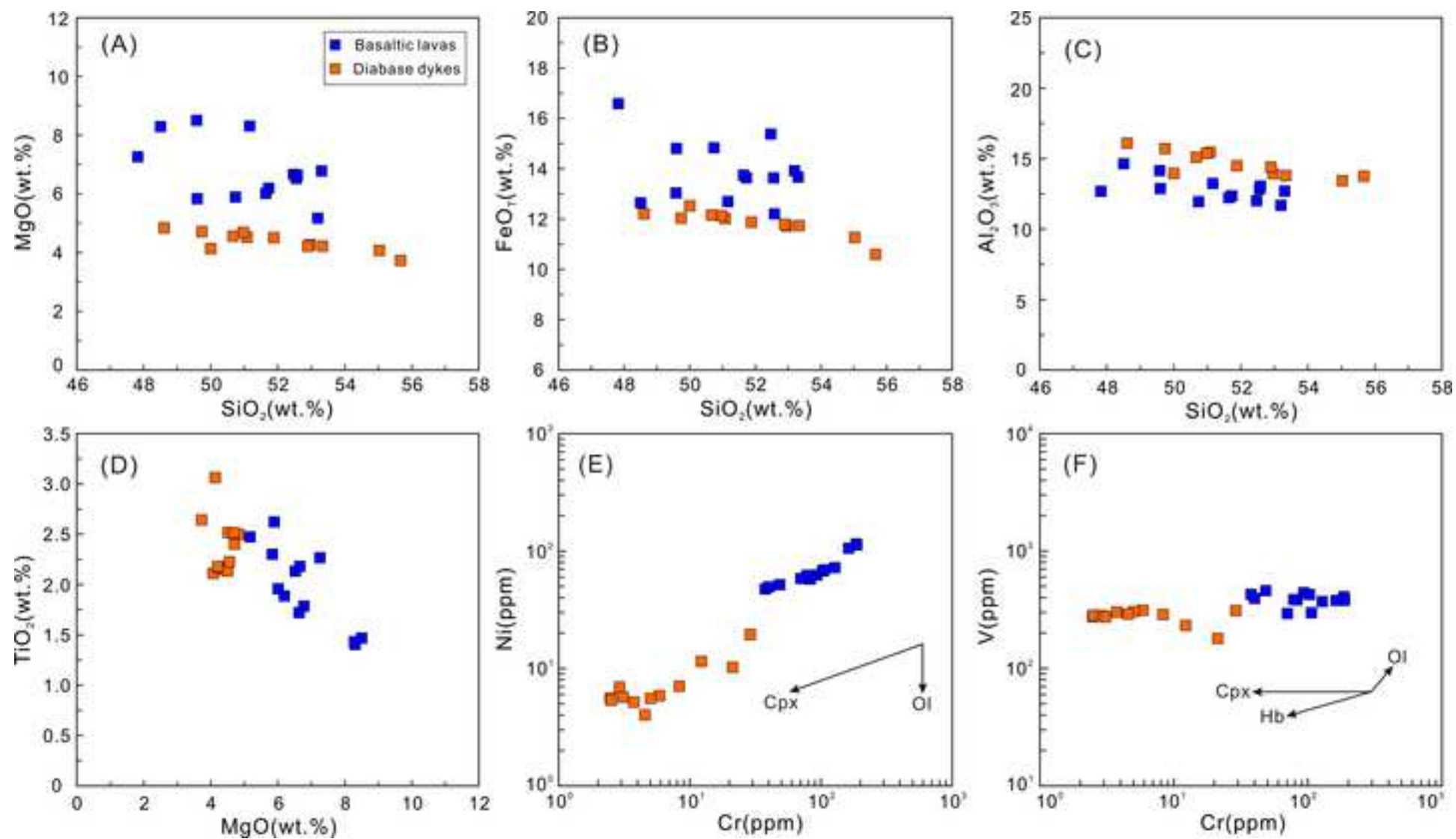


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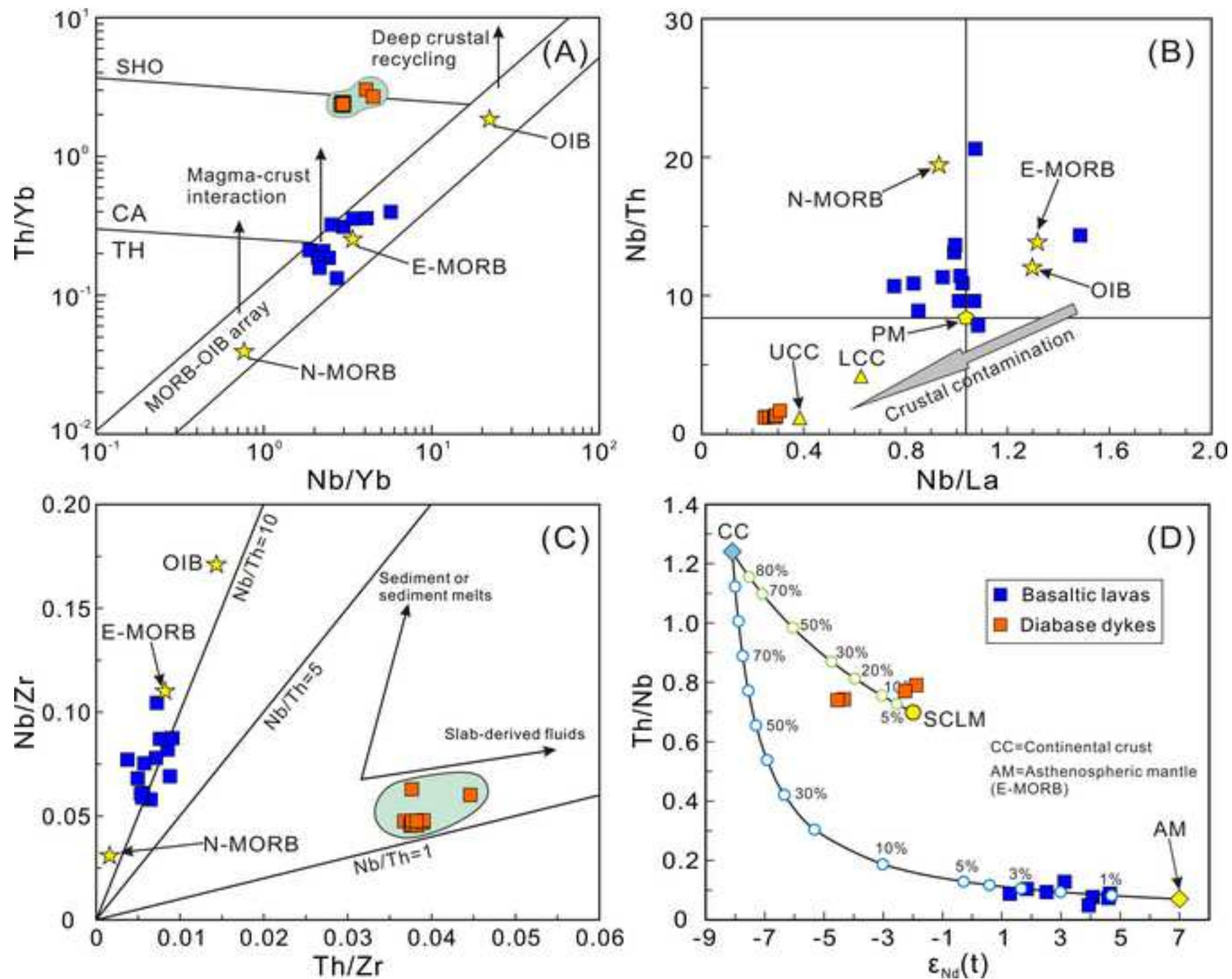




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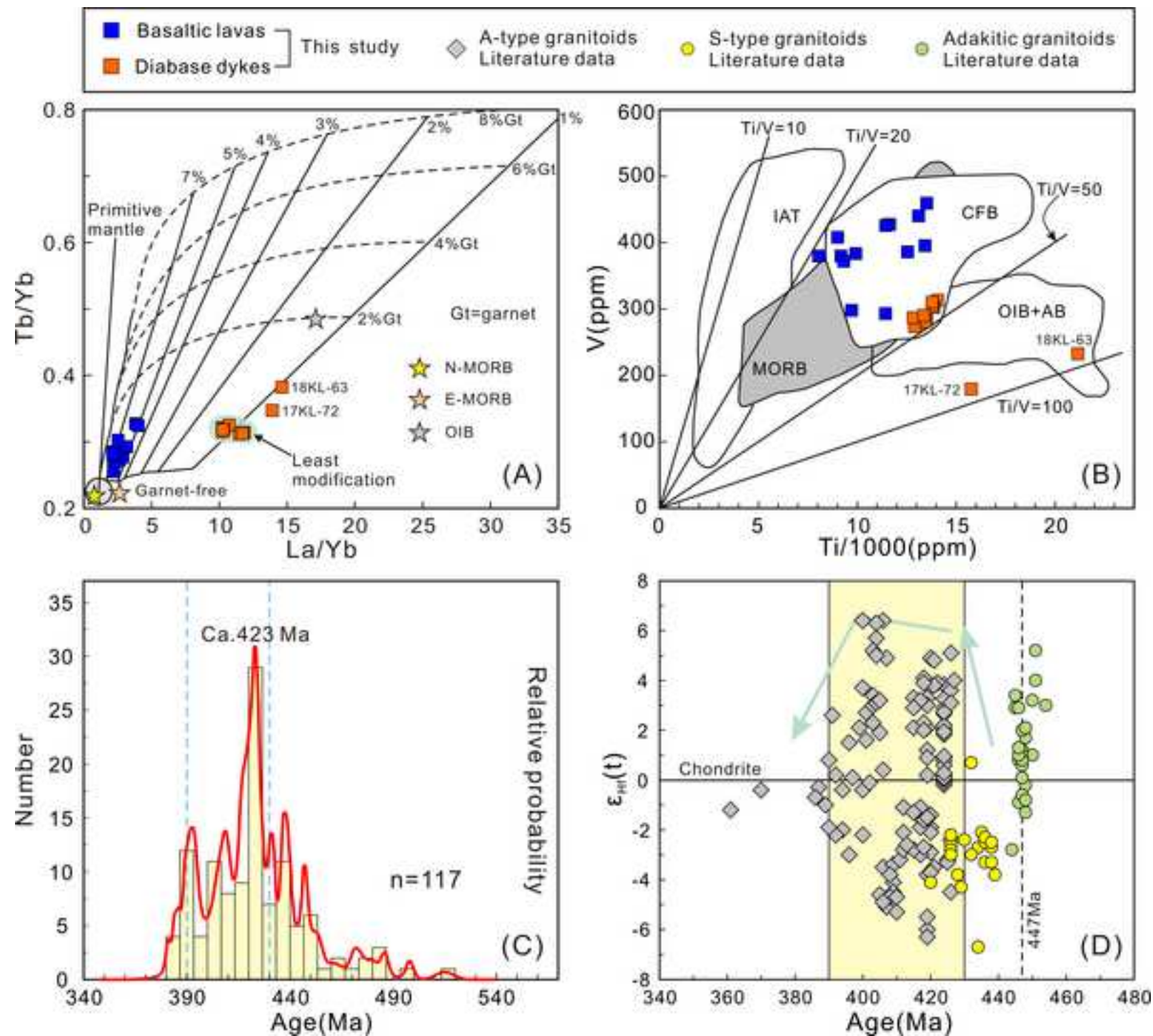
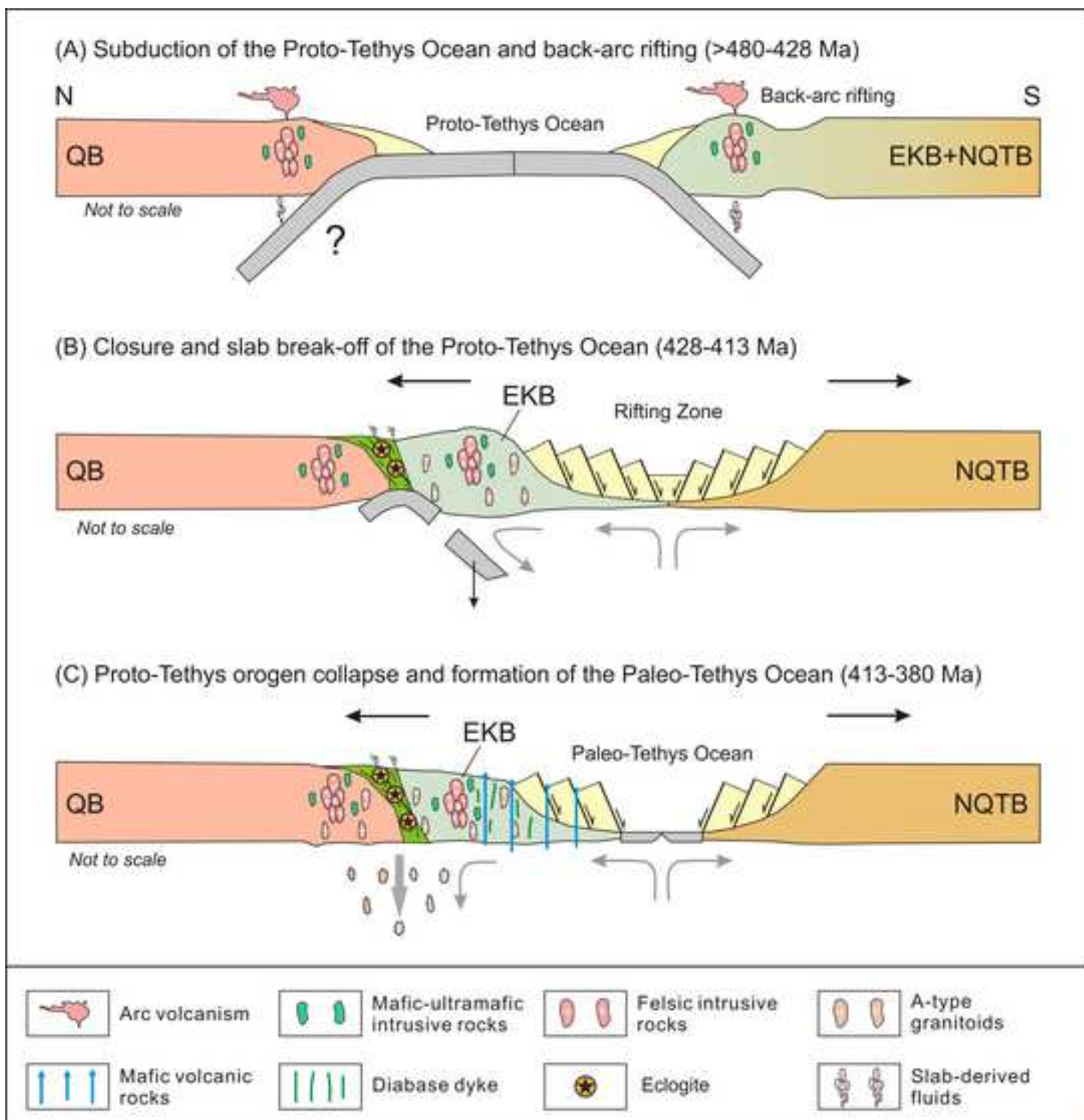


Fig.12-R3

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**Table S4-R3**

[Click here to download Supplementary material/Appendix \(Files for online publication only\): Table S4-R3.xlsx](#)



### **Declaration of Competing Interests**

The authors declare that they have no conflicts of personal relationships or financial interests that could have appeared to influence the work reported in this paper.